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### **Community Support – Adults (MH/SA) Medicaid Billable Service**

#### **Service Definition and Required Components**

Community Support consists of mental health and substance abuse rehabilitation services and supports necessary to assist the person in achieving and maintaining rehabilitative, sobriety, and recovery goals. The service is designed to meet the mental health/substance abuse treatment, financial, social, and other treatment support needs of the recipient. The service is also designed to assist the recipient in acquiring mental health/substance abuse recovery skills necessary to successfully address his/her educational, vocational, and housing needs. Community Support includes providing “first responder” crisis response on a 24/7/365 basis to enrolled recipients experiencing a crisis situation. The service activities of Community Support consist of a variety of interventions: identification and intervention to address barriers that impede the development of skills necessary for independent functioning in the community; family psychoeducation development and revision of the recipient’s Person Centered Plan; and one-on-one interventions with the community to develop interpersonal and community coping skills, including adaptation to home, school, and work environments; therapeutic mentoring; symptom monitoring; monitoring medications; and self management of symptoms. Community Support includes case management to arrange, link or integrate multiple services as well as assessment and reassessment of the recipient’s need for services. Community Support workers also inform the recipient about benefits, community resources, and services; assist the recipient in accessing benefits and services; arrange for the recipient to receive benefits and services; and monitor the provision of services.

The Community Support worker must consult with identified providers, include their input into the Person Centered Planning process, inform all involved stakeholders, and monitor the status of the recipient in relationship to the treatment goals. The organization assumes the roles of advocate, broker, coordinator, and monitor of the service delivery system on behalf of the recipient. The Community Support Professional provides coordination of movement across levels of care, directly to the person and their family and coordinates discharge planning and community re-entry following hospitalization, residential services and other levels.

A service order for Community Support services must be completed by a physician, licensed psychologist, physician’s assistant or psychiatric mental health advanced practice nurse or PMH-APRN according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Community Support services must be delivered by practitioners employed by a mental health/substance abuse provider organization that meet the provider qualification policies and procedures established by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH) and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

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The Community Support provider organization is identified in the Person Centered Plan and is responsible for obtaining authorization from the LME for the Person Centered Plan. Community Support providers must have the ability to deliver services in various environments, such as homes, schools, jails\*, homeless shelters, street locations, etc.

**\*Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions or for patients in facilities with more than 16 beds that are classified as Institutions of Mental Diseases.

Organizations that provide Community Support services must provide “first responder” crisis response on a 24/7/365 basis to recipients who are receiving community support services.

### **Staffing Requirements**

Persons who meet the requirements specified for Qualified Professional or AP status according to 10A NCAC 27G.0104 and who have the knowledge, skills, and abilities required by the population and age to be served may deliver Community Support. Qualified Professionals (QP) are responsible for developing and coordinating the Person Centered Plan. APs and Paraprofessionals may deliver Community Support services to assist the consumer to develop critical daily living and coping skills.

All Associate Professionals and Paraprofessionals providing Community Support must be supervised by a QP. Supervision must be provided according to supervision requirements specified in 10A NCAC 27G.0204 and according to licensure or certification requirements of the appropriate discipline.

Associate Professionals and Paraprofessional level-providers who meet the requirements specified for Paraprofessional or AP status according to 10A NCAC 27G.0104 may deliver Community Support as follows: service coordination activities within the established Person-Centered Plan, referral linkage, skill building, supportive counseling, and input into the Person-Centered Plan modifications. When a Paraprofessional provides Community Support services, a QP is responsible for overseeing the development of the recipient’s Person-Centered Plan.

A Certified Clinical Supervisor (CCS) and Certified Clinical Addiction Specialist (CCAS) may also deliver Community Support.

The following chart sets forth the activities that can be performed by a QP, CCS, CCAS, AP, or Paraprofessional. These activities reflect the appropriate scope of practice for these individuals.

<b>Qualified Professional Certified Clinical Supervisor Certified Clinical Addiction Specialist</b>	<b>Associate Professional Paraprofessional</b>
<ul style="list-style-type: none"> <li>• Coordination and Oversight of Initial and Ongoing Assessment Activities</li> <li>• Initial Development and Ongoing Revision of PCP</li> <li>• Monitoring of Implementation of PCP</li> <li>• Additional Case Management functions of linking, arranging for services and referrals</li> </ul>	Various Skill Building Activities <ul style="list-style-type: none"> <li>• Daily and Community Living Skills</li> <li>• Socialization Skills</li> <li>• Adaptation Skills</li> <li>• Development of Leisure Time Interests/Activities</li> <li>• Symptom Management Skills</li> <li>• Wellness Education</li> <li>• Education substance abuse</li> <li>• Work readiness</li> </ul>

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All staff must complete a minimum of twenty (20) hours of training specific to the required components of the community support service definition including crisis response within the first 90 days of employment.

### **Service Type/Setting**

Community Support is a direct and indirect periodic service where the Community Support worker provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location\*. Community Support services may be provided to an individual or a group of individuals.

**\*Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions or for patients in facilities with more than 16 beds that are classified as Institutions of Mental Diseases.

Community Support services are provided in a range of community settings such as recipient's home, school, homeless shelters, libraries, etc. Community Support services can also be billed for individuals living in independent living or supervised living (low or moderate). Community Support also includes telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting his/her rehabilitation goals. Community Support activities include person-centered planning meetings and meetings for Person Centered Plan development.

### **Program Requirements**

Caseload size for a Community Support worker may not exceed 1:30 (one Community Support worker per thirty [30] clients). Community Support services may be provided to groups of individuals. When Community Support services are provided in a group, groups may not exceed eight (8) individuals.

Units are billed in fifteen (15) minute increments.

Program services are primarily delivered face-to-face with the recipient and in locations outside the agency's facility. The aggregate services that have been delivered by the agency will be assessed annually for each provider agency using the following quality assurance benchmarks:

- all individuals receiving Community Support must receive a minimum of two (2) contacts per month with one (1) contact occurring face-to-face with the recipient;
- sixty percent (60%) or more of Community Support services that are delivered must be performed face-to-face with recipients; and
- sixty percent (60%) or more of staff time must be spent working outside of the agency's facility, with or on behalf of the recipients.

### **Utilization Management**

Authorization by the statewide vendor or the LME if approved by DHHS is required. The amount, duration, and frequency of services must be included in an individual's Person-Centered Plan and authorized on or before the day services are to be provided. Initial authorization for services must not exceed 30 days. Reauthorization will occur every thirty (30) days thereafter and is to be documented in the Person-Centered Plan and service record.

A maximum of thirty-two (32) units of Community Support services can be provided in a 24-hour period. No more than 426 units of services can be provided to an individual in a two (2) month period unless additional service is authorized based on medical necessity.

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### **Entrance Criteria**

The recipient is eligible for this service when:

- A. there are two (2) identified needs in the appropriate documented life domains

**AND**

- B. there is an Axis I or II diagnosis present, other than a sole diagnosis of Developmental Disability

**AND/OR**

- C. AMH Level of Care Criteria **or** ASAM (American Society for Addiction Medicine) criteria are met

**AND**

- D. the recipient is experiencing difficulties in at least one of the following areas:

1. is at risk for institutionalization, or hospitalization or is placed outside the natural living environment.
2. is receiving or needs crisis intervention services
3. has unmet identified needs for services from multiple agencies
4. needs advocacy and service coordination to direct service provision from multiple agencies
5. DSS has substantiated abuse, neglect, or has established dependency as defined by DSS criteria
6. recipient exhibits intense, verbal and limited physical aggression due to symptoms associated with diagnosis that is sufficient to create functional problems in the home, community, school, job, etc.
7. functional problems that may result in the recipient's inability to access clinic-based services in a timely or helpful manner
8. is in active recovery from substance abuse/dependency and is in need of continuing relapse prevention support

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Recipient has achieved initial Person Centered Plan goals but additional goals are indicated.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

**AND**

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Utilization review must be conducted every thirty (30) days (after the initial sixty [60] day utilization review) and is so documented in the Person-Centered Plan and service record.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits from this service, or has the ability to function at this level of care and any of the following apply:

- A. Recipient has achieved positive life outcomes that support stable and ongoing recovery.
- B. Recipient is not making progress or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
- C. Recipient/family no longer wishes to receive Community Support services.
- D. Recipient has achieved one (1) year of abstinence from substances.

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Expected Outcomes**

This service includes interventions that address the functional problems associated with complex and/or complicated conditions of the identified population. These interventions are strength-based and focused on promoting recovery, symptom reduction, increased coping skills, and achievement of the highest level of functioning in the community. The focus of the interventions include: minimizing the negative effects of psychiatric symptoms or substance dependence that interfere with the recipient's daily living, financial management and personal development; developing strategies and supportive interventions for avoiding out-of-home placements for adults; supporting ongoing treatment; assisting recipients to increase social support skills that ameliorate life stresses resulting from the recipient's disability and coordinating rehabilitation services in the Person Centered Plan.

### **Documentation Requirements**

Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, includes the time spent performing the interventions, effectiveness of the intervention, the signature and credentials of the staff providing the service.

### **Service Exclusions/Limitations**

An individual can receive Community Support services from only one Community Support provider organization at a time.

Community Support can be provided to individuals residing in all Adult mental health residential levels (i.e., Supervised Living Low or Moderate and Group Living Low, Moderate or High).

Group Community Support cannot be billed on the same day as Psychosocial Rehabilitation Services.

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Community Support cannot be provided during the same authorization period with the following services except as specified below: Partial Hospitalization, SACOT, SAIOP or SA Non-Medical Community Residential Treatment.

**Service Limitations:** Community Support services can be billed for a maximum of eight (8) units per month in accordance with the PCP for individuals, who are receiving a service listed above, to facilitate admission/transition to the service, to provide coordination during the provision of the service and /or to transition from the service based on the Person Centered Plan.

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### **Community Support (MH/SA) – Children/Adolescents Medicaid Billable Service**

#### **Service Definition and Required Components**

Community Support services are services and supports necessary to assist the youth ages 3 to 17 years of age or younger (20 years old or younger for children enrolled in Medicaid) and their caregivers in achieving and maintaining developmental, rehabilitative, and recovery goals. Community Support services are psychoeducational and supportive in nature and intended to meet the mental health or substance abuse needs of children and adolescents with significant functional deficits or who, because of negative environmental, medical or biological factors, are at risk of developing or increasing the magnitude of such functional deficits. Included among this latter group are those at risk for significant developmental delays, atypical development, substance abuse, or serious emotional disturbance (SED) that could result in an inability to live successfully in the community without services and guidance.

The service activities of Community Support consist of a variety of interventions: education and training of caregivers and others who have a legitimate role in addressing the needs identified in the Person Centered Plan; preventive, developmental, and therapeutic interventions designed for direct individual activities; assist with skill enhancement or acquisition, and support ongoing treatment and functional gains; development of the consumer's Person Center Plan, and one-on-one interventions with the consumer to develop interpersonal and community relational skills, including adaptation to home, school, work and other natural environments; therapeutic mentoring; and symptom monitoring and self-management of symptoms. Community Support includes case management to arrange, link or integrate multiple services as well as assessment and reassessment of the recipient's need for services. Community Support workers also inform the recipient about benefits, community resources, and services; assist the recipient in accessing benefits and services; arrange for the recipient to receive benefits and services; and monitor the provision of services. The Community Support Professional provides coordination of movement across levels of care, directly to the person and their family and coordinates discharge planning and community re-entry following hospitalization, residential services and other levels of care. The service includes providing "first responder" crisis response on a 24/7/365 basis to consumers experiencing a crisis.

A service order for Community Support services must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Community Support services must be delivered by practitioners employed by a mental health/substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

Community Support providers must have the ability to deliver services in various environments, such as homes, schools, detention centers and jails (state funds only), homeless shelters, street locations, etc.



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**Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions.

Organizations that provide Community Support services must also provide 24/7/365 crisis response to consumers and their families who are receiving community support services.

### **Staffing Requirements**

Persons who meet the requirements specified for QP or AP status according to 10A NCAC 27G.0104 and who have the knowledge, skills, and abilities required by the population and age to be served may deliver Community Support within the requirements of the staff definition specified in the above rule. Supervision is provided according to supervision requirements specified in 10A NCAC 27G.0203 and according to licensure or certification requirements of the appropriate discipline.

Associate Professionals and Paraprofessional level providers who meet the requirements specified for Paraprofessional or AP status according to 10A NCAC 27G.0204 and who have the knowledge, skills, and abilities required by the population and age to be served may deliver Community Support services as follows: service coordination activities within the established Person-Centered Plan, referral linkage, skill building, supportive counseling, and input into the Person-Centered Plan modifications. When an AP or Paraprofessional provides Community Support services, these services must be under the supervision of a QP. Supervision of APs or Paraprofessionals is also to be carried out according to 10A NCAC 27G.0204.

The following chart sets forth the activities that can be performed by a QP, CCS, CCAS, AP, and Paraprofessional. These activities reflect the appropriate scope of practice for these individuals.

<b>Qualified Professional Certified Clinical Supervisor Certified Clinical Addiction Specialist</b>	<b>Associate Professional Paraprofessional</b>
<ul style="list-style-type: none"> <li>• Coordination and Oversight of Initial and Ongoing Assessment Activities</li> <li>• Initial Development and Ongoing Revision of PCP</li> <li>• Monitoring of Implementation of PCP</li> <li>• Other case management functions of linking and referring</li> </ul>	<p>Various Skill Building Activities</p> <ul style="list-style-type: none"> <li>• Daily and Community Living Skills</li> <li>• Socialization Skills</li> <li>• Adaptation Skills</li> <li>• Symptom Management Skills</li> <li>• Wellness Education</li> <li>• Education substance abuse</li> <li>• Behavior and anger management techniques</li> </ul>

All staff must complete a minimum of twenty (20) hours of training specific to the required components of the community support service definition including crisis response within the first 90 days of employment.

### **Service Type/Setting**

Community Support is a direct and indirect periodic service where the Community Support worker provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location\*. Community Support services may be provided to an individual or a group of individuals.

Community Support also includes telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting his/her rehabilitation goals. Community Support activities include person-centered planning meetings and meetings for Person Centered Plan development.

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**\*Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions (detention centers/ youth correctional facilities, jails).

### **Program Requirements**

Caseload size for a Community Support worker may not exceed 1:30 (one Community Support worker per thirty [30] clients). Community Support services may be provided to groups of individuals. When Community Support services are provided in a group, groups may not exceed eight individuals.

Units are billed in fifteen (15) minute increments.

Program services are primarily delivered face-to-face with the recipient and in locations outside the agency's facility. Annually the aggregate services that have been delivered by the agency will be assessed for each provider agency using the following quality assurance benchmarks:

- all youth receiving Community Support must receive a minimum of two (2) contacts per month with one (1) contact occurring face-to-face with the recipient;
- sixty percent (60%) or more of Community Support services that are delivered must be performed face-to-face with recipients; and
- sixty percent (60%) or more of staff time must be spent working outside of the agency's facility, with or on behalf of consumers.

### **Utilization Management**

Authorization by the statewide vendor or the LME if approved by DHHS is required. The amount, duration, and frequency of the services must be included in an individual's Person Centered Plan, and authorized prior to or on the day services are to be provided. Initial authorization for services may not exceed thirty (30) days. Reauthorization will occur every thirty (30) days thereafter and is to be documented in the Person Centered Plan and service record.

A maximum of thirty-two (32) units of Community Support services can be provided in a 24-hour period unless specific authorization for exceeding this limit is approved. No more than 426 units of Community Support services can be provided to an individual in a two (2) month period unless specific authorization by the LME/state vendor to exceed this limit is approved.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. there are two (2) identified needs in the appropriate documented life domains

**AND**

- B. there is an Axis I or II diagnosis present, other than a diagnosis of primary Developmental Disability

**AND/OR**

- C. AMH Level of Care Criteria or NC Modified ASAM (American Society for Addiction Medicine),

**AND**

- D. the recipient is experiencing difficulties in at least one of the following areas:

1. is at risk for institutionalization or hospitalization or is placed outside the natural living environment
2. is receiving or needs crisis intervention services or Intensive In-Home services

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3. has unmet identified needs from multiple agencies
4. needs advocacy and service coordination to direct service provisions from multiple agencies
5. DSS has substantiated abuse, neglect, or has established dependency
6. presenting with intense, verbal, and limited physical aggression due to symptoms associated with diagnosis, which aggression is sufficient to create functional problems in the home, community, school, job, etc.
7. functional problems which may result in the recipient's inability to access clinic-based services in a timely or helpful manner
8. is in active recovery from substance abuse/dependency and is in need of continuing relapse prevention support

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved or sustained over the time frame outlined in the recipient's Person Centered Plan; or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains; or any one of the following apply:

- A. Recipient has achieved initial Person Centered Plan goals and additional goals are indicated.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) need to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **AND**

Utilization review must be conducted every thirty (30) days (after the initial sixty [60] day utilization review) and is so documented in the Person-Centered Plan and service record.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down; or no longer benefits from this service, or has the ability to function at this level of care; and any of the following apply:

- A. Recipient has achieved goals and is no longer eligible for Community Support services.
- B. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
- C. Recipient/family no longer wants Community Support services.
- D. Recipient has achieved one (1) year of abstinence from substances.

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

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### **Expected Outcomes**

This service includes interventions that address the functional problems associated with complex and/or complicated conditions of the identified population. These interventions are strength-based and focused on promoting symptom stability, increased coping skills, and achievement of the highest level of functioning in the community. For substance abusers, the expected outcomes include the achievement of abstinence from substances. The focus of the interventions include: minimizing the negative effects of psychiatric and substance abuse symptoms that interfere with the recipient's daily living; improving and sustaining developmentally appropriate functioning in specified domains; financial management and personal development; developing strategies and supportive interventions for avoiding out-of-home placements; supporting ongoing treatment assisting recipients to increase social support skills that ameliorate life stresses resulting from the recipient's disability and coordinating rehabilitation services in the Person Centered Plan.

### **Documentation Requirements**

Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, includes the time spent performing the interventions, effectiveness of the intervention, and the signature of the staff providing the service.

### **Service Exclusions/Limitations**

An individual can receive Community Support services from only one (1) Community Support provider organization at a time.

Community Support services can not be billed for individuals who are receiving Intensive IN-Home service, Multisystemic Therapy, SAIOP, Day Treatment, Level II-IV Child Residential or Substance Abuse Residential services except as referenced below

**Service Limitation:** Community support services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving SAIOP, or Substance residential Services for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS Professional and discharge planning.

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### **Mobile Crisis Management (MH/DD/SA) Medicaid Billable Service**

#### **Service Definition and Required Components**

Mobile Crisis Management involves all support, services and treatments necessary to provide integrated crisis response, crisis stabilization interventions, and crisis prevention activities. Mobile Crisis Management services are available at all times, 24/7/365. Crisis response provides an immediate evaluation, triage and access to acute mental health, developmental disabilities, and/or substance abuse services, treatment, and supports to effect symptom reduction, harm reduction, and/or to safely transition persons in acute crises to appropriate crisis stabilization and detoxification supports/services. These services include immediate telephonic response to assess the crisis and determine the risk, mental status, medical stability, and appropriate response.

Mobile Crisis Management also includes crisis prevention and supports that are designed to reduce the incidence of recurring crises. These supports and services should be specified in a recipient's Crisis Plan, which is a component of all Person Centered Plans.

#### **Provider Requirements**

Mobile Crisis Management services must be delivered by a team of practitioners employed by a mental health/substance abuse provider organization that meets the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

#### **Staffing Requirements**

Mobile Crisis Management services must be provided by a team of individuals that includes a QP according to 10A NCAC 27G.0104 and who must either be a nurse, clinical social worker or psychologist as defined in this administrative code. One of the team members must be a CCAS, CCS or a Certified Substance Abuse Counselor (CSAC). Each organization providing crisis management must have 24/7/365 access to a board certified or eligible psychiatrist. The psychiatrist **must** be available for face to face or phone consultation to crisis staff. A QP or AP with experience in Developmental Disabilities must be available to the team as well. Paraprofessionals with competency in crisis management may also be members of the crisis management team when supervised by the QP. A supervising professional must be available for consultation when a Paraprofessional is providing services.

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All staff providing crisis management services must demonstrate competencies in crisis response and crisis prevention. At a minimum, these staff must have:

- a minimum of one (1) year's experience in providing crisis management services in the following settings: assertive outreach, assertive community treatment, emergency department or other service providing 24/7 response in emergent or urgent situations

**AND**

- twenty (20) hours of training in appropriate crisis intervention strategies within the first 90 days of employment

Professional staff must have appropriate licenses, certification, training and experience and non-licensed staff must have appropriate training and experience.

### **Service Type/Setting**

Mobile Crisis Management is a direct and periodic service that is available at all times, 24/7/365. It is a "second level" service, in that other services should be billed before Crisis Management, as appropriate and if there is a choice. For example, if the recipient's outpatient clinician stabilized his/her crisis, the outpatient billing code should be used, not crisis management. If a Community Support worker responds and stabilizes his/her crisis, the Community Support billing code should be used.

Units will be billed in fifteen (15) minute increments.

Mobile Crisis Management services are primarily delivered face-to-face with the consumer and in locations outside the agency's facility. Annually the aggregate services that have been delivered by the agency will be assessed for each provider agency using the following quality assurance benchmarks:

- Team providing this service must provide at least eighty percent (80%) of their units on a face-to-face with recipients of this service.

If a face-to-face assessment is required, this assessment must be delivered in the least restrictive environment and provided in or as close as possible to a person's home, in the individual's natural setting, school, work, local emergency room, etc. This response must be mobile. The result of this assessment should identify the appropriate crisis stabilization intervention.

**Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions or for patients in facilities with more than 16 beds that are classified as Institutions of Mental Diseases.

### **Program Requirements**

Mobile Crisis Management services should be delivered in the least restrictive environment and provided in or as close as possible to a person's home.

Mobile Crisis Management services must be capable of addressing all psychiatric, substance abuse, and developmental disability crises for all ages to help restore (at a minimum) an individual to his/her previous level of functioning.

Mobile Crisis Management services may be delivered by one (1) or more individual practitioners on the team.

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For recipients new to the public system, Mobile Crisis Management must develop a Crisis Plan before discharge. This Crisis Plan should be provided to the individual, caregivers (if appropriate), and any agencies that may provide ongoing treatment and supports after the crisis has been stabilized. For recipients who are already receiving services, Mobile Crisis Management should recommend revisions to existing crisis plan components in Person Centered Plans, as appropriate.

### **Utilization Management**

There is no prior authorization for the first 32 units of crisis services per episode. The maximum length of service is 24 hours per episode. Additional authorization must occur after 32 units of services have been rendered. For individuals enrolled with the LME, the crisis management provider must contact the LME to determine if the individual is enrolled with a provider that should and can provide or be involved with the response. Mobile Crisis Management should be used to divert individuals from inpatient psychiatric and detoxification services. These services are not used as “step down” services from inpatient hospitalization.

The maximum length of service is 24 hours per episode.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. the person and/or family are experiencing an acute, immediate crisis as determined by a crisis rating scale specified by DMH

**AND**

- B. the person and/or family has insufficient or severely limited resources or skills necessary to cope with the immediate crisis

**OR**

- C. the person and/or family members evidences impairment of judgment and/or impulse control and/or cognitive/perceptual disabilities

**OR**

- D. the person is intoxicated or in withdrawal and in need of substance abuse treatment and unable to access services without immediate assistance

Priority should be given to individuals with a history of multiple crisis episodes and/or who are at substantial risk of future crises.

### **Continued Stay Criteria**

The recipient’s crisis has not been resolved or their crisis situation has not been stabilized, which may include placement in a facility-based crisis unit or other appropriate residential placement.

### **Discharge Criteria**

Recipient’s crisis has been stabilized and his/her need for ongoing treatment/supports has been assessed. If the recipient has continuing treatment/support needs, a linkage to ongoing treatment or supports has been made.

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

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### **Expected Outcomes**

This service includes a broad array of crisis prevention and intervention strategies which assist the recipient in managing, stabilizing or minimizing clinical crisis or situations. This service is designed to rapidly assess crisis situations and a recipient's clinical condition, to triage the severity of the crisis, and to provide immediate, focused crisis intervention services which are mobilized based on the type and severity of crisis.

### **Documentation Requirements**

Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, includes the time spent performing the interventions, effectiveness of the intervention, and the signature of the staff providing the service. Treatment logs or preprinted check sheets will not be sufficient to provide the necessary documentation. For recipients new to the public system, Mobile Crisis Management must develop a crisis plan before discharge.

### **Service Exclusions**

Assertive Community Treatment, Intensive In-Home Services, Multisystemic Therapy, Medical Community Substance Abuse Residential Treatment, Non-Medical Community Substance Abuse Residential Treatment, Detoxification Services, Inpatient Substance Abuse Treatment, Inpatient Psychiatric Treatment, and Psychiatric Residential Treatment Facility except for the day of admission. Mobile Crisis Management services may be provided to an individual who receives inpatient psychiatric services on the same day of service.



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### **Diagnostic/Assessment (MH/DD/SA) Medicaid Billable Service**

#### **Service Definition and Required Components**

A Diagnostic/Assessment is an intensive clinical and functional face to face evaluation of a recipient's mental health or substance abuse condition that results in the issuance of a Diagnostic/Assessment report with a recommendation regarding whether the recipient meets target population criteria, and includes an order for Enhanced Benefit services that provides the basis for the development of an initial Person Centered Plan. For substance abuse-focused Diagnostic/Assessment, the designated Diagnostic Tool specified by DMH (e.g., SUDDS IV, ASI, SASSI) for specific substance abuse target populations (i.e., Work First, DWI, etc.) must be used. In addition, any elements included in this service definition that are not covered by the tool must be completed.

The Diagnostic/Assessment must include the following elements:

- A. a chronological general health and behavioral health history (includes both mental health and substance abuse) of the recipient's symptoms, treatment, treatment response and attitudes about treatment over time, emphasizing factors that have contributed to or inhibited previous recovery efforts;
- B. biological, psychological, familial, social, developmental and environmental dimensions and identified strengths and weaknesses in each area;
- C. a description of the presenting problems, including source of distress, precipitating events, associated problems or symptoms, recent progressions; and current medications
- D. a strengths/problem summary which addresses risk of harm, functional status, co-morbidity, recovery environment, and treatment and recovery history;
- E. diagnoses on all five (5) axes of DSM-IV;
- F. evidence of an interdisciplinary team progress note that documents the team's review and discussion of the assessment;
- G. a recommendation regarding target population eligibility; and
- H. evidence of recipient participation including families, or when applicable, guardians or other caregivers

This assessment will be signed and dated by the MD, PA, NP or PhD and will serve as the order for initial services and development of the initial PCP by the enhanced service provider within the next 30 days. Upon completion, the PCP will be sent to the LME for administrative review and authorization of services under the purview of the LME.

For additional services added after the development of the initial PCP, the order requirement for each service is included in the service definition.

#### **Provider Requirements**

Diagnostic/Assessments must be conducted by practitioners employed by a mental health/substance abuse provider meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must

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have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

### **Staffing Requirements**

The Diagnostic/Assessment team must include at least two (2) QPs, according to 10A NCAC 27G.0104 ., both of whom are licensed or certified clinicians; one (1) of the team members must be a qualified practitioner whose professional licensure or certification authorizes the practitioner to diagnose mental illnesses and/or addictive disorders. One of which must be an MD, DO, Nurse Practitioner, Physician Assistant, or PhD psychologists. For substance abuse-focused Diagnostic/Assessment, the team must include a CCS or CCAS.

### **Service Type/Setting**

Diagnostic/Assessment is a direct periodic service that can be provided in any location.\*

**\*Note:** For Medicaid recipients this service cannot be provided in an IMD (for adults) or in a public institution, (jail, detention center,)

### **Program Requirements**

An initial Diagnostic/Assessment shall be performed by a Diagnostic/Assessment team for each recipient being considered for receipt of services in the mental health, developmental disabilities, and/or substance abuse Enhanced Benefit package.

### **Utilization Management**

A recipient may receive one Diagnostic/Assessment per year. An assessment equals one (1) event. For individuals eligible for Enhanced Benefit services, referral by the LME for Diagnostic/Assessment is required. For individuals eligible for Basic Benefit services, no referral is required if service is provided by an individual Medicaid-qualified practitioner. Additional events require prior authorization from the statewide vendor or LME if approved by DHHS.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. there is a known or suspected mental health or substance abuse diagnosis

**OR**

- B. initial screening/triage information indicates a need for additional mental health/substance abuse treatment

### **Continued Stay Criteria**

Not applicable.

### **Discharge Criteria**

Not applicable.

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

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### **Expected Outcomes**

A Diagnostic/Assessment determines whether the recipient is appropriate for and can benefit from mental health, developmental disabilities, and/or substance abuse services based on the recipient's diagnosis, presenting problems, and treatment/recovery goals. It also evaluates the recipient's level of readiness and motivation to engage in treatment. Results from a Diagnostic/Assessment include an interpretation of the assessment information, appropriate case formulation and an order for immediate needs and the development of Person Centered Plan. For substance abusers, a Diagnostic/Assessment recommends a level of placement using N.C. Modified A/ASAM criteria. This assessment will include signing the order for the initial PCP. That order will constitute the order for the services in the PCP.

### **Documentation Requirements**

The Diagnostic/Assessment must include the following elements:

- A. a chronological general health and behavioral health history (includes both mental health and substance abuse) of the recipient's symptoms, treatment, treatment response and attitudes about treatment over time, emphasizing factors that
- B. have contributed to or inhibited previous recovery efforts; biological, psychological, familial, social, developmental and environmental dimensions and identified strengths and weaknesses in each area;
- C. a description of the presenting problems, including source of distress, precipitating events, associated problems or symptoms, recent progressions, and current medications
- D. strengths/problem summary which addresses risk of harm, functional status, co-morbidity, recovery environment, and treatment and recovery history;
- E. diagnoses on all five (5) axes of DSM-IV;
- F. evidence of an interdisciplinary team progress note that documents the team's review and discussion of the assessment;
- G. a recommendation regarding target population eligibility; and
- H. evidence of recipient participation including families, or when applicable, guardians or other caregivers.

### **Service Exclusions/Limitations**

A recipient may receive one (1) Diagnostic/Assessment per year. Any additional Diagnostic/Assessment within a one (1) year period must be authorized by the LME prior to the delivery of the service. Diagnostic/Assessment shall not be billed on the same day as Assertive Community Treatment, Intensive In-Home, Multisystemic Therapy or Community Support Team. If psychological testing or specialized assessments are indicated, they are billed separately using CPT codes 96100, 96110, 96111, 96115 or 96117.

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### Intensive In-Home Services Medicaid Billable Service

#### Service Definition and Required Components

This is a time-limited intensive family preservation intervention intended to stabilize the living arrangement, promote reunification or prevent the utilization of out-of-home therapeutic resources (i.e., psychiatric hospital, therapeutic foster care, residential treatment facility) for the identified youth through the age of 20. These services are delivered primarily to children in their family's home with a family focus to:

1. Diffuse the current crisis, evaluate its nature, and intervene to reduce the likelihood of a recurrence;
2. Ensure linkage to needed community services and resources;
3. Provide self help and living skills training for youth;
4. Provide parenting skills training to help the family build skills for coping with the youth's disorder;
5. Monitor and manage the presenting psychiatric and/or addiction symptoms; and
6. Work with caregivers in the implementation of home-based behavioral supports. Services may include crisis management, intensive case management, individual and/or family therapy, substance abuse intervention, skills training, and other rehabilitative supports to prevent the need for an out-of-home, more restrictive services.

This intervention uses a team approach designed to address the identified needs of children and adolescents who are transitioning from out of home placements or are at risk of out-of-home placement and need intensive interventions to remain stable in the community. This population has access to a variety of interventions twenty four (24) hours a day, seven (7) days per week by staff that will maintain contact and intervene as one (1) organizational unit.

Team services are individually designed for each family, in full partnership with the family, to minimize intrusion, and maximize independence. Services are generally more intensive at the beginning of treatment and decrease over time as the youth and family's coping skills develop.

The team services are structured and delivered face-to-face to provide support and guidance in all areas of functional domains: adaptive, communication, psychosocial, problem solving, behavior management, etc. This service is **not** delivered in a group setting.

A service order for Intensive In-Home services must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### Provider Requirements

Intensive In-Home services must be delivered by practitioners employed by a mental health/substance abuse provider organization that meets the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

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Intensive In-Home Service providers must have the ability to deliver services in various environments, such as homes, schools, detention centers and jails (state funds only), homeless shelters, street locations, etc.

Organizations that provide Intensive In-Home Services must provide “first responder” crisis response on a 24/7/365 basis to recipients who are receiving this service.

### **Staffing Requirements**

This service model includes both a licensed professional and a minimum of two (2) staff who are APs or provisional licensed and who have the knowledge, skills, and abilities required by the population and age to be served. The licensed professional is the team leader is responsible for coordinating the initial assessment and developing the youth’s Person Centered Plan (PCP). The service model requires that in-home staff provide 24 hour coverage, 7 days per week. The licensed professional is also responsible for providing or coordinating (with another licensed professional) treatment for the youth or other family members. All treatment must be directed toward the eligible recipient of in-home services. Team to family ratio shall not exceed one to eight (1 to 8) for each three-person team. Intensive In-Home Services focused on substance abuse intervention must include a CCS, CCAS, or CSAC on the team.

Persons who meet the requirements specified for qualified professional or AP status according to 10A NCAC 27G.0104 and who have the knowledge, skills, and abilities required by the population and age to be served may deliver Intensive In-Home Services within the requirements of the staff definition specified in the above rule. Supervision is provided according to supervision requirements specified in 10A NCAC 27G.0104 and according to licensure and certification requirements of the appropriate discipline.

All staff must complete the intensive in-home services training within the first 90 days of employment.

### **Service Type/Setting**

Intensive In-Home services are direct and indirect periodic services where the team provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location. Intensive In-Home services are primarily provided in a range of community settings such as recipient’s home, school, homeless shelters, libraries, etc. Intensive In-Home services also include telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting their goals specified in their Person Centered Plan.

**Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions or for patients in facilities with more than 16 beds that are classified as Institutions of Mental Diseases.

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### **Clinical Requirements**

For Intensive In-Home recipients, a minimum of twelve (12) contacts must occur within the first month. For the second and third months of Intensive In-Home services, an average of six (6) contacts per month must occur. It is the expectation that service frequency will be titrated over the last two (2) months.

Units will be billed on a per diem basis with a minimum of 2 hours per day

Services are primarily delivered face-to-face with the consumer and/or family and in locations outside the agency's facility. The aggregate services that have been delivered by the agency will be assessed annually for each provider agency using the following quality assurance benchmarks:

- Sixty percent (60%) of the contacts occur face-to-face with the youth and/or family. The remaining units may either be phone or collateral contacts; and
- Sixty percent (60%) or more of staff time must be spent working outside of the agency's facility, with or on behalf of the recipients.

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. The amount, duration, and frequency of the service must be included in a recipient's Person-Centered Plan. Initial authorization for services may not exceed thirty (30) days. Reauthorization will occur every sixty (60) days thereafter and is so documented in the Person Centered Plan and service record.

A maximum of thirty-two (32) units of Intensive In-Home Services can be provided in a twenty-four (24) hour period. No more than 360 units of services can be provided to an individual in a three (3) month period unless specific authorization for exceeding this limit is approved.

### **Entrance Criteria**

A recipient is eligible for this service when:

- A. There is an Axis I or II diagnosis present, other than a sole diagnosis of Developmental Disability.

**AND**

- B. Treatment in a less intensive service (e.g., community support) was attempted or evaluated during the assessment but was found to be inappropriate or not effective.

**AND**

- C. The youth and/or family have insufficient or severely limited resources or skills necessary to cope with an immediate crisis.

**AND**

- D. The youth and/or family issues are unmanageable in school based or behavioral program settings and require intensive coordinated clinical and positive behavioral interventions.

**AND**

- E. The youth is at risk of out-of-home placement or is currently in an out-of-home placement and reunification is imminent.

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### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved or sustained over the time frame outlined in the youth's Person Centered Plan or the youth continues to be at risk for out-of-home placement:

- A. Recipient has achieved initial Person Centered Plan goals and additional goals are indicated.

**AND**

- B. Recipient is making satisfactory progress toward meeting goals.

**AND**

- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.

**OR**

- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.

**OR**

- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **Discharge Criteria**

Service recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

- A. Recipient has achieved goals; discharge to a lower level of care is indicated, or recipient has entered a Substance Abuse Intensive Out-Patient Program.
- B. The youth and families/caregivers have skills and resources needed to step down to a less intensive service.
- C. There is a significant reduction in the youth's problem behavior and/or increase in pro-social behaviors.
- D. The youth's or parent/guardian requests discharge (and is not imminently dangerous to self or others).
- E. An adequate continuing care plan has been established.
- F. The youth requires a higher level of care (i.e., inpatient hospitalization or PRTF).

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Documentation Requirements**

Minimum standard is a shift note for every eight hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

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### **Expected Outcomes**

The individual's living arrangement has been stabilized, crisis needs have been resolved, linkage has been made with needed community service/resources; youth has gained living skills; parenting skills have been increased; need for out of home placements has been reduced/eliminated

### **Service Exclusions/Limitations**

An individual can receive Intensive In-Home Services from only one Intensive In-Home provider organization at a time.

Intensive in-home services cannot be provided during the same authorization period with the following services except as specified below. Community Support or living in a Level II-IV child residential or substance abuse residential facility

**Service Limitation:** Intensive in-home services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving the services listed above for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS professional and discharge planning.

Multisystemic Therapy, Day Treatment, Hourly Respite, individual, group or family therapy or SAIOP cannot be billed while an individual is receiving Intensive In-Home Services.



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### **Multisystemic Therapy (MST) Medicaid Billable Service**

#### **Service Definition and Required Components**

Multisystemic Therapy (MST) is a program designed for generally between the ages 7 through 17 and their families who have antisocial, aggressive/violent behaviors, are at risk of out-of-home placement due to delinquency; are adjudicated youth returning from out-of-home placement; are chronic or violent juvenile offenders, and/or are youth with serious emotional disturbances or abusing substances and involved in the juvenile justice system. MST provides an intensive model of treatment based on empirical data and evidence-based interventions that target specific behaviors with individualized behavioral interventions. The purpose of this program is to keep youth in the home by delivering an intensive therapy to the family within the home. Services are provided through a team approach to youth and their families. Services include: an initial assessment to identify the focus of the MST intervention; individual therapeutic interventions with the youth and family; peer intervention; case management; crisis stabilization; and respite. Specialized therapeutic and rehabilitative interventions are available to address special areas such as substance abuse, sexual abuse, sex offending, and domestic violence. Services are available in-home, at school, and in other community settings. The duration of MST intervention is three to five (3 to 5) months. MST involves families and other systems such as the school, probation officers, extended families, and community connections.

MST services are delivered in a team approach designed to address the identified needs of children and adolescents with significant behavioral problems who are transitioning from out of home placements or are at risk of out-of-home placement and need intensive interventions to remain stable in the community. This population has access to a variety of interventions twenty four (24/7) hours a day by staff that will maintain contact and intervene as one organizational unit.

This team approach is structured face-to-face therapeutic interventions to provide support and guidance in all areas of functional domains: adaptive, communication, psychosocial, problem solving, behavior management, etc. The service promotes the family's capacity to monitor and manage the youth's behavior.

A service order for MST must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

MST services must be delivered by practitioners employed by a mental health/substance abuse provider organization that meets the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards either by being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

MST providers must have the ability to deliver services in various environments, such as homes, schools, detention centers and jails (state funds only), homeless shelters, street locations, etc.

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Organizations that provide MST must provide “first responder” crisis response on a 24/7/365 basis to consumers who are receiving this service

### **Staffing Requirements**

This service model includes at a minimum a master’s level QP who is the team supervisor and three (3) QP staff who provide available 24-hour coverage, 7 days per week. Staff is required to participate in MST introductory training and quarterly training on topics directly related to the needs of MST youth and their family on an ongoing basis. All staff on the MST team shall receive a minimum of one (1) hour of group supervision and one (1) hour of telephone consultation per week. MST team member to family ratio shall not exceed one to five (1 to 5) for each four (4) person team.

### **Service Type/Setting**

MST is a direct and indirect periodic service where the MST worker provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location. MST services are provided in a range of community settings such as recipient’s home, school, homeless shelters, libraries, etc. MST also includes telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting their goals specified in their Person Centered Plan.

**Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions or for patients in facilities with more than 16 beds that are classified as Institutions of Mental Diseases.

### **Clinical Requirements**

For registered recipients, a minimum of twelve (12) contacts must occur within the first month. For the second and third months of MST, an average of six (6) contacts per month must occur. It is the expectation that service frequency will be titrated over the last two (2) months.

Units will be billed in fifteen (15) minute increments.

Program services are primarily delivered face-to-face with the consumer and/or their family and in locations outside the agency’s facility. The aggregate services that have been delivered by the agency will be assessed annually for each provider agency using the following quality assurance benchmarks:

- Fifty percent (50%) of the contacts occur face-to-face with the youth and/or family. The remaining units may either be phone or collateral contacts; and
- Sixty percent (60%) or more of staff time must be spent working outside of the agency’s facility, with or on behalf of consumers.

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. The amount, duration, and frequency of the service must be included in an individual’s Person Centered Plan. The initial authorization for services may not exceed thirty (30) days. Reauthorization will occur every sixty (60) days thereafter and is so documented in the Person Centered Plan and service record.

A maximum of thirty-two (32) units of MST services can be provided in a twenty-four (24) hour period. No more than 480 units of services can be provided to an individual in a three (3) month period unless specific authorization for exceeding this limit is approved.

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### **Entrance Criteria**

- A. There is an Axis I or II diagnosis present, other than a sole diagnosis of Developmental Disability.

**AND**

- B. The youth should be between the ages of 7 through 17.

**AND**

- C. The youth displays willful behavioral misconduct (e.g., theft, property destruction, assault, truancy or substance use/abuse or juvenile sex offense), when in conjunction with other adjudicated delinquent behaviors)

**AND**

- D. The youth is at imminent risk of out-of-home placement or is currently in out-of-home placement due to delinquency and reunification is imminent within thirty (30) days of referral.

**AND**

- E. The youth has a caregiver that is willing to assume long term parenting role and caregiver who is willing to participate with service providers for the duration of the treatment.

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved or sustained over the time frame outlined in the youth's Person Centered Plan or the youth continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Youth continues to exhibit willful behavioral misconduct.

**AND**

- B. There is a reasonable expectation that the youth will continue to make progress in reaching overarching goals identified in MST in the first four (4) weeks.

**OR**

- C. Youth is not making progress; the Person Centered Plan must be modified to identify more effective interventions.

**OR**

- D. Youth is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **Discharge Criteria**

Youth's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, or no longer benefits from this service. The decision should be based on one of the following:

- A. Youth has achieved seventy-five percent (75%) of the Person Centered Plan goals, discharge to a lower level of care is indicated.
- B. Youth is not making progress or is regressing, and all realistic treatment options within this modality have been exhausted.
- C. The youth/family requests discharge and is not imminently dangerous to self or others
- D. The youth requires a higher level of care (i.e., inpatient hospitalization or PRTF).

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

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### **Documentation Requirements**

Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's intervention, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

### **Expected Outcomes**

The youth has improved in domains such as: adaptive, communication, psychosocial, problem solving and behavior, willful behavioral misconduct has been reduced/eliminated (e.g. theft, property destruction, assault, truancy or substance abuse/use, or juvenile sex offense, when in conjunction with other delinquent behaviors) The family has increased capacity to monitor and manage the youth's behavior; need for out of home placement has been reduced/eliminated.

### **Service Exclusions/Limitations**

An individual can receive MST services from only one MST provider organization at a time.

MST services can not be billed for individuals who are receiving Community Support or living in Level II-IV Child residential or substance abuse residential placements except as specified below:

**Service Limitation:** MST services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving services listed above for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS profession and discharge planning.

Intensive In-Home Services, Day Treatment, Hourly Respite, individual, group or family therapy or SAIOP cannot be billed while an individual is receiving MST.

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### **Community Support Team (CST) (MH/SA) Medicaid Billable Service**

#### **Service Definition and Required Components**

Community Support Team (CST) services consist of mental health and substance abuse rehabilitation services and supports necessary to assist adults (age 18 and older) in achieving rehabilitative and recovery goals. This is an intensive community rehabilitation service that provides treatment and restorative interventions to: assist individuals to gain access to necessary services; reduce psychiatric and addiction symptoms; and develop optimal community living skills. Services offered by the CST shall be documented in a Person Centered Plan and must include: assistance and support for the individuals in crisis situations; service coordination; psycho-education and support for individuals and their families; individual restorative interventions for the development of interpersonal, community coping and independent living skills; development of symptom monitoring and management skills; monitoring medication; and self medication.

Individuals will experience decreased crisis episodes, and increased community tenure, time working, in school or with social contacts, and personal satisfaction and independence. Through supports based on the individuals' needs, consumers will reside in independent or semi-independent living arrangements, and be engaged in the recovery process.

The CST must consult with identified professionals, family members and others, include their input into the Person Centered Planning process, inform all involved stakeholders, and monitor the status of the recipient in relationship to the treatment goals. The CST provider assumes the roles of advocate, broker, coordinator, and monitor of the service delivery system on behalf of the recipient. The community Support Professional provides coordination of movement across levels of care, directly to the person and their family, and coordinates discharge planning and community re-entry following hospitalization, residential services and other levels of care.

A service order for CST must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Community Support services provided by a team must be delivered by practitioners employed by a mental health/substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by the LME. Within three (3) years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

The CST must have the ability to deliver services in various environments, such as homes, schools, jails (state funds only), homeless shelters, street locations, etc.

Organizations that provide CST services must provide "first responder" crisis response on a 24/7/365 basis to consumers who are receiving this service.

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### **Staffing Requirements**

Community Support teams must be comprised of three (3) staff persons meeting the requirements above. Each team must have a team leader who must meet QP status according to 10A NCAC 27G.0104. The team must have a least a .5 FTE team leader that provides clinical and administrative supervision of the team and also function as a practicing clinician on the team.

#### **AND**

Persons who meet the requirements specified for QP or AP status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver Community Support Team services. A QP must be the team leader (supervisor). Supervision is provided according to supervision requirements specified in 10A NCAC 27G.0203 and according to licensure requirements of the appropriate discipline.

#### **AND**

The team may include a paraprofessional who meet the requirements specified for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver Community Support Team services within the requirements of the staff definition specific in the above role. Supervision of Paraprofessionals is also to be carried out according to 10A NCAC 27G.0204.

#### **OR**

A Certified Peer Support Specialist is an individual who is or has been a recipient or is a recipient of mental health or substance abuse services with mental illness or addiction. A Certified Peer Specialist is a fully integrated team member who provides highly individualized services in the community and promotes individual self-determination and decision making.

The Community Support Team maintains a consumer-to-practitioner ratio of no more than fifteen (15) consumers per staff person. Staff-to-consumer ratio takes into consideration evening and weekend hours, needs of special populations, and geographical areas to be served. (For example, a team of three staff can have a caseload of 45 consumers.)

All staff providing community support team services must complete of a minimum of twenty hours of crisis management and community support team service definition required components within the first 90 days of employment.

### **Service Type/Setting**

Community Support Team is a direct and indirect periodic service in which the team provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location. Community Support Team services are provided in a range of community settings such as recipient's home, homeless shelters, libraries, etc. Community Support Team services also include telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting his/her rehabilitation goals.

This service is billable to Medicaid except when provided to a consumer who is an inmate of a public correctional institution or a resident in an Institution for Mental Diseases (IMD).

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### **Clinical Requirements**

For registered recipients, a minimum of eight (8) contacts must occur within the first month. Units will be billed in fifteen (15) minute increments.

Program services are primarily delivered face-to-face with the consumer and in locations outside the agency's facility. The aggregate services that have been delivered by the agency will be assessed annually for each provider agency using the following quality assurance benchmarks:

- Sixty percent (60%) or more of CST services that are delivered face-to-face with the recipient. The remaining units may either by phone or collateral contacts; and
- Ninety percent (90%) or more of staff time must be spent working outside of the agency's facility, with or on behalf of consumers.

### **Utilization Management**

Authorization by the statewide vendor or by the LME is required. The amount, duration and frequency of the service must be included in an individual's Person Centered Plan and a QP must complete service orders prior to the delivery of services. The initial authorization for services may not exceed 30 days. Reauthorization will occur every 60 days thereafter and is to be documented in the Person Centered Plan and service record.

A maximum of 32 units of CST services can be provided in a 24-hour period, unless specific authorization for exceeding this limit is appropriate. No more than 480 units of services can be provided to an individual in a three (3) month period unless specific authorization for exceeding this limit is required based on medical necessity.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. There are two (2) identified needs in the appropriate documented domains,

**AND**

- B. There is an Axis I or II diagnosis present, other than a sole diagnosis of a Developmental Disability

**AND/OR**

- C. Adult of Care Criteria or level A/ASAM (American Society for Addiction Medicine)

**AND**

- D. And four or more of the following conditions:

1. High use of acute psychiatric hospitals or crisis/emergency services including mobile, in-clinic or crisis residential (e.g., two or more admissions per year) or extended hospital stay (30 days within the past year) or psychiatric emergency services.
2. History of inadequate follow-through with elements of a Person Centered Plan related to risk factors (including lack of follow through taking medications, following a crisis plan or maintaining housing).
3. Intermittently medication refractory.
4. Co-diagnosis of substance abuse (ASAM – any level of care) and mental illness.
5. Legal issues (conditional release for non-violent offense; history of failures to show in court, etc.).
6. Homeless or at high risk of homelessness due to residential instability.
7. Clinical evidence of suicidal gestures and/or ideation in past 3 months.

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8. Ongoing inappropriate public behavior in the community within the last three months.
9. Self-harm or threats of harm to others within last year.
10. Evidence of significant complications such as cognitive impairment, behavioral problems, or medical conditions.
11. A lower level of care has been tried or considered and found to be inappropriate for the consumer at the time that authorization is requested.

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Recipient has achieved initial Person Centered Plan goals and these services are necessary to meet additional goals.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) need to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **AND**

Utilization review must be conducted every 60 days (after the initial 30 day UR) and is so documented in the Person Centered Plan and service record.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

- A. Recipient has positive life outcomes that supports stable and ongoing recovery.
- B. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
- C. Recipient/family no longer wants Community Support Team services.

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Documentation Requirements**

Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, includes the time spent performing the interventions, effectiveness of the intervention, and the signature and credentials of the staff providing the service.



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### **Expected Outcomes**

Individuals will experience decreased crisis episodes and increased community tenure, time working in school or with social contact, and personal satisfaction and independence. Through supports based on the individuals' needs, consumers will reside in independent or semi-independent living arrangements, and be engaged in the recovery process

### **Service Exclusions/Limitations**

An individual can receive Community Support Team services from only one Community Support Team provider at a time.

Community Support Team services can not be billed for individuals who are receiving Community Support, ACTT, SA Intensive Outpatient Program (SAIOP), SA Comprehensive Outpatient Treatment (SACOT) or SA residential services except as specified below.

Community Support Team services can be billed for a maximum of eight (8) units per month in accordance with the PCP for individuals who are receiving Community Support, ACTT, Partial Hospitalization, SAIOP, SACOT, or residential services for the purpose of facilitating a transition for the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, and ensuring that the service provider works directly with the CS professional and discharge planning.

Community Support Team services can be provided for individuals residing in adult MH residential programs (e.g., Supervised Living Low or Moderate, Group Living Low, Moderate or High).

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### **Assertive Community Treatment Team (ACTT) Medicaid Billable Service**

#### **Service Definition and Required Components**

The Assertive Community Treatment Team is a service provided by an interdisciplinary team that ensures service availability 24 hours a day, 7 days per week and is prepared to carry out a full range of treatment functions wherever and whenever needed. A service recipient is referred to the Assertive Community Treatment Team service when it has been determined that his/her needs are so pervasive and/or unpredictable that they can not be met effectively by any other combination of available community services. Typically this service should be targeted to the ten percent (10%) of MH/DD/SA service recipients who have serious and persistent mental illness or co-occurring disorders, dual and triply diagnosed and the most complex and expensive treatment needs. The service objectives are addressed by activities designed to: promote symptom stability and appropriate use of medication; restore personal, community living and social skills; promote and maintain physical health; establish access to entitlements, housing, work and social opportunities; and promote and maintain the highest possible level of functioning in the community. ACT Teams should make every effort to meet critical standards contained in the most current edition of the National Program Standards for ACT Teams as established by the National Alliance for the Mentally Ill or US Department of Health and Human Services, Center for Mental Health Services.

This service is delivered in a team approach designed to address the identified needs of specialized populations and/or the long term support of those with persistent MH/DD/SA issues that require intensive interventions to remain stable in the community. These service recipients would tend to be high cost, receive multiple services, decompensate to the point of requiring hospitalization before seeking treatment, seek treatment only during a crisis, or unable to benefit from traditional forms of clinic based services. This population has access to a variety of interventions twenty four (24) hours, seven days per week by staff that will maintain contact and intervene as one organizational unit.

This team approach involves structured face-to-face scheduled therapeutic interventions to provide support and guidance in all areas of functional domains: adaptive, communication, personal care, domestic, psychosocial, problem solving, etc. in preventing, overcoming, or managing the recipient's level of functioning and enhancing his/her ability to remain in the community.

This service includes interventions that address the functional problems associated with the most complex and/or pervasive conditions of the identified population. These interventions are strength based and focused on promoting symptom stability, increasing the recipient's ability to cope and relate to others and enhancing the highest level of functioning in the community.

ACTT provides ongoing assertive outreach and treatment necessary to address the service recipient's needs effectively. Consideration of geographical locale may impact on the effectiveness of this service model. This model is primarily a mobile unit, but includes some clinic based services.

A service order for ACTT must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

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### Provider Requirements

Assertive Community Treatment services must be delivered by practitioners employed by a mental health/substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

ACTT services may be provided to an individual by only one organization at a time. This organization is identified in the Person Centered Plan and is responsible for obtaining authorization from the LME for the PCP. ACTT providers must have the ability to deliver services in various environments, such as homes, schools, homeless shelters, street locations, etc.

**\*Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions. For ACTT, the case management component may be billed when provided thirty (30) days prior to discharge when a recipient resides in a general hospital or a psychiatric inpatient setting and retains Medicaid eligibility.

Organizations that provide ACTT services must ensure service availability 24 hours per day, 7 days per week, 365 days per year and be capable of providing a full range of treatment functions including crisis response wherever and whenever needed to recipients who are receiving ACTT services.

### Staffing Requirements

Assertive Community Treatment services must be provided by a team of individuals. Individuals on this team shall have sufficient individual competence, professional qualifications and experience to provide service coordination; crisis assessment and intervention; symptom assessment and management; individual counseling and psychotherapy; medication prescription, administration, monitoring and documentation; substance abuse treatment; work-related services; activities of daily living services; social, interpersonal relationship and leisure-time activity services; support services or direct assistance to ensure that individuals obtain the basic necessities of daily life; and education, support, and consultation to individuals' families and other major supports. Each ACT team staff member must successfully participate in the DMH approved ACTT training. The DMH approved training will focus on developing staff's competencies for delivering ACTT services according to the most recent evidenced based practices. Each ACT team shall have sufficient numbers of staff to provide treatment, rehabilitation, and support services 24 hours a day, seven days per week.

Each ACT team shall have a staff-to-individual ratio that does not exceed one full-time equivalent (FTE) staff person for every 10 individuals (not including the psychiatrist and the program assistant). ACT teams **that serve approximately 100 individuals** shall employ a minimum of 10 FTE multidisciplinary clinical staff persons including:

**Team Leader:** A full-time team leader/supervisor that is the clinical and administrative supervisor of the team and who also functions as a practicing clinician on the ACTT team. The team leader at a minimum must have a master's level QP status according to 10A NCAC 27G.0104.

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**Psychiatrist:** A psychiatrist, who works on a full-time or part-time basis for a minimum of 16 hours per week for every 50 individuals. The psychiatrist provides clinical services to all ACTT individuals; works with the team leader to monitor each individual's clinical status and response to treatment; supervises staff delivery of services; and directs psychopharmacologic and medical services.

**Registered Nurses:** A minimum of two FTE registered nurses. At least one nurse must have a QP status according to 10A NCAC 27G.0104 or be an Advanced Practice Nurse (APN) according to NCGS Chapter 90 Article I, Subchapter 32M. The other nurse must have at minimum an AP status according to 10A NCAC 27G.0104. By July 1, 2005 it is expected that all team nurses will be have QP Status or be an APN.

**Other Mental Health Professionals:** A minimum of 4 FTE QP or AP (in addition to the team leader), with at least one designated for the role of vocational specialist, preferably with a master's degree in rehabilitation counseling. At least one-half of these other mental health staff shall be master's level professionals.

**Substance Abuse Specialist:** One FTE who has a QP status according to 10A NCAC 27G.0104. and is one of the following: CCS, CCAS, or CSAC.

**Certified Peer Support Specialist:** A minimum of one FTE Certified Peer Support Specialist. A Certified Peer Support Specialist is an individual who is or has been a recipient of mental health services. Because of life experience with mental illness and mental health services, the Certified Peer Support Specialist provides expertise that professional training cannot replicate. Certified Peer Support Specialists are fully integrated team members who provide highly individualized services in the community and promote individual self-determination and decision-making.

Certified Peer Support Specialists also provide essential expertise and consultation to the entire team to promote a culture in which each individual's point of view and preferences are recognized, understood, respected and integrated into treatment, rehabilitation, and community self-help activities.

**Remaining Clinical Staff:** The additional clinical staff may be bachelor's level and Paraprofessional mental health workers who carry out rehabilitation and support functions. A bachelor's level mental health worker has a bachelor's degree in social work or a behavioral science and work experience with adults with severe and persistent mental illness. A Paraprofessional mental health worker may have a bachelor's degree in a field other than behavioral sciences or have a high school degree and work experience with adults with severe and persistent mental illness or with individuals with similar human-services needs. These Paraprofessionals may have related training (e.g., certified occupational therapy assistant, home health care aide) or work experience (e.g., teaching) and life experience.

**Program/Administrative Assistant:** One FTE program/administrative assistant who is responsible for organizing, coordinating, and monitoring all non-clinical operations of ACTT, including managing medical records; operating and coordinating the management information system; maintaining accounting and budget records for individual and program expenditures; and providing receptionist activities, including triaging calls and coordinating communication between the team and individuals.

Smaller teams **serving no more than 50 individuals** shall employ a minimum of 6 to 8 FTE multidisciplinary clinical staff persons, including one team leader (MHP), one registered nurse, one FTE peer specialist, one FTE program assistant, and 16 hours of psychiatrist time for every 50 individuals on the team. One of the multidisciplinary clinical staff persons should be a CCS or CCAS.

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### **Service Type/Setting**

ACTT is a direct and indirect periodic service where the ACTT staff provides direct intervention and also arranges, coordinates, and monitors services on behalf of the recipient. This service is provided in any location. ACTT are intended to be provided on an individualized basis.

ACTT services are primarily provided in a range of community settings such as recipient's home, school, homeless shelters, libraries, etc.

**\*Note:** For all services, federal Medicaid regulations will deny Medicaid payment for services delivered to inmates of public correctional institutions. For ACTT, the case management component may be billed when provided thirty (30) days prior to discharge when a recipient resides in a general hospital or a psychiatric inpatient setting and retains Medicaid eligibility.

ACTT may include telephone time with the individual recipient and collateral contact with persons who assist the recipient in meeting his/her rehabilitation goals. ACTT activities include person-centered planning meetings and meetings for treatment/Person Centered Plan development.

### **Program Requirements**

The ACT team shall have the capacity to provide multiple contacts a week with individuals experiencing severe symptoms, trying a new medication, experiencing a health problem or serious life event, trying to go back to school or starting a new job, making changes in living situation or employment or having significant ongoing problems in daily living. These multiple contacts may be as frequent as two to three times per day, seven days per week and depend on individual need and a mutually agreed upon plan between individuals and program staff. Many, if not all, staff shall share responsibility for addressing the needs of all individuals requiring frequent contact. The ACT team shall provide an average of three contacts per week for all individuals.

Program services are primarily delivered face-to-face with the consumer and in locations outside the agency's facility. The aggregate services that have been delivered by the agency will be assessed annually for each provider agency using the following quality assurance benchmarks:

- Eighty percent (80%) or more of staff time must be face-to-face with the recipient. The remaining units may either be phone or collateral contacts; and
- Each team shall set a goal of providing seventy-five percent (75%) of service contacts in the community in non office-based or non facility-based settings.

To ensure appropriate ACT team development, each new ACT team is recommended to titrate ACTT intake (e.g., 4-6 individuals per month) to gradually build up capacity to serve no more than 100-120 individuals (with 10-12 staff) and no more than 42-50 individuals (with 6-8 staff) for smaller teams.

The ACT team shall be available to provide treatment, rehabilitation, and support activities seven days per week. It is recommended that ACT team schedules should follow the standards established in the National Program Standards for ACT Teams.

### **Utilization Management**

Authorization by the statewide vendor or by the LME if approved by DHHS is required. Utilization review must be conducted every thirty (30) days and is so documented in the Person Centered Plan and service record.

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### **Entrance Criteria**

The recipient is eligible for ACTT services when:

- A. They have a severe and persistent mental illness listed in the diagnostic nomenclature (currently the Diagnostic and Statistical Manual, Fourth Edition, or DSM IV, of the American Psychiatric Association) that seriously impair their functioning in community living. Priority is given to people with schizophrenia, other psychotic disorders (e.g., schizoaffective disorder), and bipolar disorder because these illnesses more often cause long-term psychiatric disability. (Individuals with a primary diagnosis of a substance abuse disorder or mental retardation are not the intended recipient group.)
- B. They have a significant functional impairments as demonstrated by at least one of the following conditions:
  - 1. Significant difficulty consistently performing the range of practical daily living tasks required for basic adult functioning in the community (e.g., caring for personal business affairs; obtaining medical, legal, and housing services; recognizing and avoiding common dangers or hazards to self and possessions; meeting nutritional needs; maintaining personal hygiene) or persistent or recurrent difficulty performing daily living tasks except with significant support or assistance from others such as friends, family, or relatives.
  - 2. Significant difficulty maintaining consistent employment at a self-sustaining level or significant difficulty consistently carrying out the homemaker role (e.g., household meal preparation, washing clothes, budgeting, or child-care tasks and responsibilities).
  - 3. Significant difficulty maintaining a safe living situation (e.g., repeated evictions or loss of housing).
- C. Have one or more of the following problems, which are indicators of a need for continuous high level of services (i.e., greater than eight hours per month):
  - 1. High use of acute psychiatric hospitals (e.g., two or more admissions per year) or psychiatric emergency services.
  - 2. Intractable (i.e., persistent or very recurrent) severe major psychiatric symptoms (e.g., affective, psychotic, suicidal).
  - 3. Coexisting mental health and substance abuse disorder of significant duration (e.g., greater than 6 months).
  - 4. High risk or recent history of criminal justice involvement (e.g., arrest, incarceration).
  - 5. Significant difficulty meeting basic survival needs, residing in substandard housing, homelessness or imminent risk of becoming homeless.
  - 6. Residing in an inpatient or supervised community residence, but clinically assessed to be able to live in a more independent living situation if intensive services are provided, or requiring a residential or institutional placement if more intensive services are not available.
  - 7. Difficulty effectively utilizing traditional office-based outpatient services.

Priority is given to people with schizophrenia, other psychotic disorders (e.g., schizoaffective disorder), and bipolar disorder. Individuals with other major psychiatric disorders may be eligible when other services have not been effective in meeting their needs.

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### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on attempts to reduce ACTT services in a planful way; or the tenuous nature of the functional gains; or any one of the following apply:

- A. Recipient has achieved positive life outcomes that supports stable and ongoing recovery and these services are needed to meet additional goals.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions or indicating a need for more intensive services.
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

If the recipient is functioning effectively with this service and discharge would otherwise be indicated, ACTT services should be maintained when it can be reasonably anticipated that regression is likely to occur if the service is withdrawn. The decision should be based on any one of the following:

- A. Past history of regression in the absence of ACTT is documented in the service record or attempts to titrate ACTT downward have resulted in regression,

### **OR**

- B. In the event there is an epidemiologically sound expectation that symptoms will persist and that ongoing outreach treatment interventions are needed to sustain functional gains. The presence of a DSM IV diagnosis would necessitate a disability management approach.

### **Discharge Criteria**

- A. Discharges from the ACT team occur when recipients and program staff mutually agree to the termination of services. This shall occur when recipients:
  - 1. Have successfully reached individually established goals for discharge, and when the recipient and program staff mutually agree to the termination of services.
  - 2. Have successfully demonstrated an ability to function in all major role areas (i.e., work, social, self-care) without ongoing assistance from the program, without significant relapse when services are withdrawn, and when the recipient requests discharge, and the program staff mutually agree to the termination of services.
  - 3. Move outside the geographic area of ACTT's responsibility. In such cases, the ACT team shall arrange for transfer of mental health service responsibility to an ACTT program or another provider wherever the recipient is moving. The ACT team shall maintain contact with the recipient until this service transfer is implemented.
  - 4. Decline or refuse ACTT services and request discharge, despite the team's best efforts to develop an acceptable treatment plan with the recipient.
- B. Documentation of discharge shall include:
  - 1. The reasons for discharge as stated by both the recipient and the ACT team.
  - 2. The recipient's biopsychosocial status at discharge.
  - 3. A written final evaluation summary of the recipient's progress toward the goals set forth in the treatment plan.

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4. A plan developed in conjunction with the recipient for follow-up treatment after discharge.
5. The signature of the recipient, the recipient's service coordinator, the team leader, and the psychiatrist.

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Documentation Requirements**

Minimum standard is a daily full service note that includes the consumer's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, includes the time spent performing the interventions, effectiveness of the intervention, and the signature of the staff providing the service.

### **Expected Outcomes**

The individual will have increased ability to function in all major role areas (i.e. work, social, self-care) without ongoing assistance from the program, without significant relapse when services are withdrawn, need for emergency and inpatient psychiatric services will be reduced; severe psychiatric symptoms will be reduced, criminal justice involvement will be decreased, ability to meet basic needs such as food, clothing, housing will be increased.

### **Service Limitations**

An individual can receive ACTT services from only one ACTT provider at a time. ACTT is a comprehensive team intervention and most other services are excluded. Opioid Treatment can be provided concurrently with ACTT.

ACTT services can not be billed for individuals who are receiving Community Support, Community Support Team, Psychosocial Rehabilitation, Partial Hospitalization, or SA residential services except as specified below and in accordance with the person centered plan.

ACTT services can be billed for a limited period of time in accordance with the PCP for individuals who are receiving Community Support, Partial Hospitalization, SAIOP, SACOT or residential services for the purpose of facilitating transition to the service admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the ACTT professional and discharge planning

### **Service Exclusions**

An individual can receive ACTT services from only one ACTT provider at a time. ACTT is a comprehensive team intervention and most other services are excluded. Opioid Treatment can be provided concurrent with ACTT services.

ACTT services cannot be billed for individuals who are receiving Community Support, Community Support Team, Psychosocial Rehabilitation, Partial Hospitalization or SA residential services except as specified below and in accordance with the Person Centered Plan.



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### **Inpatient Hospital Psychiatric Treatment (MH) Medicaid Billable Service**

#### **Service Definition and Required Components**

Inpatient Hospital Psychiatric Service is an organized service that provides intensive evaluation and treatment delivered in an acute care inpatient setting by medical and nursing professionals under the supervision of a psychiatrist. This service is designed to provide continuous treatment for individuals with acute psychiatric problems.

A service order for Inpatient Hospital Psychiatric Service must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Inpatient Hospital Psychiatric Services must be delivered in a licensed 24 hour inpatient setting or in State operated facilities. This service may be provided at a psychiatric hospital or on an inpatient unit within a licensed hospital or in State operated psychiatric hospitals. Per 42 CFR Chapter IV 441.151, a psychiatric hospital or an inpatient program in a hospital must be accredited by JCAHO.

#### **Staffing Requirements**

Inpatient Hospital Psychiatric Service are staffed by physicians and psychiatrists, who are available 24 hours a day by telephone and who conduct assessments within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication administration on an ongoing basis. Appropriately licensed and credentialed staff are available to administer medications in accordance with physician orders. The planned regimen of 24-hour evaluation, care and treatment services must be under the clinical supervision of a psychiatrist who is available by phone 24 hours a day.

#### **Service Type/Setting**

The service is provided in a licensed 24-hour inpatient setting. This service may be provided at a psychiatric hospital or on an inpatient psychiatric unit within a licensed hospital licensed as inpatient psychiatric hospital beds or in State operated facilities. Per 42 CFR Chapter IV 441.151, a psychiatric hospital or inpatient program must be accredited by JCAHO.

#### **Program Requirements**

This service focuses on reducing acute psychiatric symptoms through face-to-face, structured group and individual treatment. This service is designed to offer medical, psychiatric and therapeutic interventions including such treatment modalities as medication management, psychotherapy, group therapy, dual diagnosis treatment for comorbid psychiatric and substance abuse disorders and milieu treatment; medical care and treatment as needed; supportive services including room and board. A determination of the appropriate services is made by the care providers under the direction of the attending physician. These services are reimbursed at a per diem rate based on occupancy on the inpatient unit during the midnight bed count. Physician and other professional time not included in the daily rate is billed separately. Educational services are not billable to Medicaid, but must be provided according to state and federal educational requirements.

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### **Utilization Management**

Authorization by the statewide vendor is required. This service must be included in the crisis component of an individual's Person-Centered Plan. Initial authorization is limited to three (3) days with continued stay reviewed for non-state operated facilities.

### **Certification of Need Process for Persons under Age 21**

A certification of need (CON) process is necessary for persons under the age of 21. It must be performed by an independent team that includes a physician who has competence in diagnosis and treatment of mental illness, preferably in child psychiatry; and has knowledge of the individual's situation. For an individual who applies for Medicaid while in the facility/program, the certification (CON) must be performed by the team responsible for the plan of care and cover any period prior to the application date for which the facility is seeking to have Medicaid coverage begin.

The CON must certify that:

1. Ambulatory care resources available within the community are insufficient to meet the treatment needs of the recipient; and
2. The individual's condition is such that it requires services on an inpatient basis under the direction of a board-eligible or certified child and adolescent psychiatrist or general psychiatrist with experience in treating children and adolescents; and
3. The services can reasonably be expected to improve the recipient's presenting condition or prevent further regression so that the services will no longer be needed.

### **Entrance Criteria**

The medical necessity criteria for admission to a psychiatric hospital or to a psychiatric unit of a general hospital are outlined in 10 NCAC 26B.0112. In general, these criteria require that:

- A. There is a DSM-IV diagnosis of a psychiatric condition

**AND**

- B. The individual is experiencing at least one of the following:
  1. Making direct threats or there is a clear and reasonable inference of serious harm to self where suicidal precautions or observation on a 24-hour basis or intermittent restraints/seclusion are required
  2. Actively violent, unpredictable, aggressive, disruptive or uncontrollable behavior which represents potential for serious harm to person or property of others or there is evidence for a clear and reasonable inference of serious harm to others which requires intensive psychiatric nursing interventions on a 24-hour basis
  3. Acute onset of psychosis or severe thought disorder or clinical deterioration in condition due to chronic psychosis rendering the individual unable to adequately care for his/her own physical needs, representing potential for serious harm to self, requiring intensive psychiatric and nursing interventions on a 24-hour basis.
  4. Presence of medication needs, or a medical process or condition which is life-threatening which requires an acute care setting for treatment
  5. Requires complex diagnostic assessment or treatment which is not available or is unsafe on an outpatient basis

**AND**

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- C. As a prerequisite for inpatient hospitalization, all of the following apply:
1. Outpatient services in the community do not meet the treatment needs of the individual.
  2. Proper treatment of the individual's psychiatric condition requires services on an inpatient basis under the direction of a physician.
  3. The services can reasonably be expected to improve the individual's condition or prevent further regression or that services will no longer be needed.

### **Continued Stay Criteria**

The criteria for continued stay in an acute inpatient psychiatric facility are summarized below:

The desired outcome or level of functioning has not been restored, improved or sustained over the time frame outlined in the treatment plan and the consumer continues to be at risk of harming self or others as evidenced by direct threats or clear and reasonable inference of serious harm to self violent, unpredictable or uncontrollable behavior which represents potential for serious harm to the person or property of others; demonstrating inability to adequately care for own physical needs; or requires treatment which is not available or is unsafe on and outpatient basis. The individual's condition must require psychiatric and nursing interventions on a 24 hour basis.

NC Medicaid criteria for continued acute stay in an inpatient psychiatric facility are outlined in 10 NCAC 26B.0113. These criteria apply to recipients under the age of 21 in a psychiatric hospital or in a psychiatric unit of a general hospital and to individuals ages 21-64 receiving treatment in a psychiatric unit of a general hospital.

Utilization review must be conducted every 3 days for non-state operated facilities and is so documented in the Person Centered Plan and the service record.

### **Discharge Criteria**

The consumer no longer meets the continued stay criteria.

**Note:** Any denial, reduction, suspension or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Expected Outcomes**

The individual will attain a level of functioning including stabilization of psychiatric symptoms and establishment of abstinence sufficient to allow for subsequent substance abuse or mental health treatment in a less restrictive setting.

### **Documentation Requirements**

Must meet documentation requirements of the accrediting body as well as the Medicaid requirements noted in the Service Records manual. Minimum standard is a shift note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

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### **Service Exclusions/Limitations**

This service cannot be billed on the same day as any other MH/SA service except for discharge planning purposes when transitioning to Community Support, Community Support Team, ACTT, Intensive In-Home Services or Multisystemic Therapy except for the case management component of these services, which must be delivered in coordination with the Inpatient Hospital Psychiatric Treatment provider and can be provided prior to discharge from the Inpatient Hospital Psychiatric Treatment service. Discharge Planning should begin upon admission to this service.

## **DRAFT**

### **Psychosocial Rehabilitation Medicaid Billable Service**

#### **Service Definition and Required Components**

A Psychosocial Rehabilitation (PSR) service is designed to help adults with psychiatric disabilities increase their functioning so that they can be successful and satisfied in the environments of their choice with the least amount of ongoing professional intervention. PSR focuses on skill and resource development related to life in the community and to increasing the participant's ability to live as independently as possible, to manage their illness and their lives with as little professional intervention as possible, and to participate in community opportunities related to functional, social, educational and vocational goals.

The service is based on the principles of recovery, including equipping consumers with skills, emphasizing self-determination, using natural and community supports, providing individualized intervention, emphasizing employment, emphasizing the "here and now", providing early intervention, providing a caring environment, practicing dignity and respect, promoting consumer choice and involvement in the process, emphasizing functioning and support in real world environments, and allowing time for interventions to have an effect over the long term.

There should be a supportive, therapeutic relationship between the providers, recipient, and family which addresses and/or implements interventions outlined in the Person Centered Plan in any of the following skills development, educational, and pre-vocational activities:

- A. community living, such as housekeeping, shopping, cooking, use of transportation facilities, money management;
- B. personal care such as health care, medication self-management, grooming;
- C. social relationships;
- D. use of leisure time
- E. educational activities which include assisting the client in securing needed education services such as adult basic education and special interest courses; and
- F. prevocational activities which focus on the development of positive work habits and participation in activities that would increase the participant's self worth, purpose and confidence; these activities are not to be job specific training.

A service order for Psychosocial Rehabilitation must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Psychosocial Rehabilitation services must be delivered by a mental health provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

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### **Staffing Requirements**

The program shall be under the direction of a person who meets the requirements specified for QP status according to 10A NCAC 27G.0104. The QP is responsible for supervision of other program staff which may include APs and Paraprofessionals who meet the requirements according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served.

### **Service Type/Setting**

Psychosocial Rehabilitation is a service that shall be available five hours a day minimally and the setting shall meet the licensure requirements of 10A NCAC 27G.1200.

### **Program Requirements**

This service is to be available for a period of five or more hours per day at least five days per week and it may be provided on weekends or in the evening. The number of hours that participant receives PSR services are to be specified in his/her Person Centered Plan.

If the PSR provider organization also provides Supported Employment or Transitional Employment, these services are to be costed and reported separately.

Only the time during which the participant receives PSR services may be billed to Medicaid.

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. The amount, duration, and frequency of services must be included in an individual's Person Centered Plan, and authorized on or before the day services are to be provided. Initial authorization for services would not exceed a six (6) month period. Utilization review must be conducted every 6 months and be so documented in the service record.

### **Entrance Criteria:**

The recipient is eligible for this service when:

- A. There is an Axis I or II diagnosis present,

**AND**

- B. Level of Care Criteria, Level C/NC-SNAP (NC Supports/Needs Assessment Profile)/ASAM (American Society for Addiction Medicine),

**AND**

- C. The recipient has impaired role functioning that adversely affects at least two of the following:
1. employment,
  2. management of financial affairs,
  3. ability to procure needed public support services,
  4. appropriateness of social behavior, or
  5. activities of daily living.

**AND**

- D. The recipient's level of functioning may indicate a need for psychosocial rehabilitation if the recipient has unmet needs related to recovery and regaining the skills and experience needed to maintain personal care, meal preparation, housing, or to access social, vocational and recreational opportunities in the community.

## **DRAFT**

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's person centered plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Recipient has achieved initial rehabilitation goals in the person centered plan goals and continued services are needed in order to achieve additional goals.
- B. Recipient is making satisfactory progress toward meeting rehabilitation goals.
- C. Recipient is making some progress, but the specific interventions need to be modified so that greater gains, which are consistent with the recipient's rehabilitation goals are possible or can be achieved.
- D. Recipient is not making progress; the rehabilitation goals must be modified to identify more effective interventions.
- E. Recipient is regressing; the person centered plan must be modified to identify more effective interventions.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the rehabilitation goals outlined in the person centered plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

- A. Recipient has achieved rehabilitation goals, discharge to a lower level of care is indicated.
- B. Recipient is not making progress, or is regressing and all realistic treatment options with this modality have been exhausted.
- C. Recipient requires a more intensive level of care or service.

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Expected Outcomes**

This service includes interventions that address the functional problems associated with complex and/or complicated conditions related to mental illness. These interventions are strength-based and focused on promoting recovery, symptom stability, increased coping skills and achievement of the highest level of functioning in the community. The focus of interventions is the individualized goals related to addressing the recipient's daily living, financial management and personal development; developing strategies and supportive interventions that will maintain stability; assisting recipients to increase social support skills that ameliorate life stresses resulting from the recipient's mental illness.

### **Documentation Requirements**

Minimum standard is a monthly service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's intervention, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

### **Service Exclusions**

PSR cannot be provided during the same authorization period with the following services: Partial hospitalization and ACTT.

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### **Child and Adolescent Day Treatment (MH/SA) Medicaid Billable Service**

#### **Service Definition and Required Components**

Day Treatment includes a structured treatment service program that builds on the strengths and addresses the identified functional problems associated with the complex conditions of each individual child or adolescent and family. These interventions are designed to support symptom reduction and/or sustain symptom stability at lowest possible levels, increase the individual's ability to cope and relate to others, support and sustain recovery, and enhance the child's capacity to function in an inclusive setting or to be maintained in community based services. It is available for children 3 to 17 years of age (20 or younger for those who are eligible for Medicaid).

Day Treatment provides mental health and/or substance abuse interventions in the context of a treatment milieu. This service should be focused on achieving functional gains, be developmentally appropriate, culturally relevant and sensitive, child and family centered and focus on reintegrating the individual back into the school or transitioning into employment. The outcomes and therapeutic or rehabilitation goals of this service are defined in individual treatment goals outlined in the PCP/Child and Family Plan. The Child and Family Team, are those persons relevant to the child's successful achievement of service goals including, but not limited to, family members, mentors, school personnel and members of the community who may provide support, structure, and services for the child.

Intensive services are designed to reduce symptoms and improve functional skills. Functional skills shall include, but are not limited to:

- Functioning in a mainstream educational setting;
- Maintaining residence with a family or community based non-institutional setting (foster home, therapeutic home, residential treatment, etc.); and
- Maintaining appropriate role functioning in community settings.

In addition to traditional therapeutic interventions, day treatment may also include time spent off site in places that are related to achieving service goals including, but not limited to, normalizing community activities, such as visiting a local place of business to file an application for part time employment. For younger children, relationship and play-based therapies should be delivered in a natural setting.

Best practices include a supportive, therapeutic relationship between the providers and consumer and family/caregiver that addresses and/or implements specific interventions outlined in the PCP/Child and Family Plan. These shall include, but are not limited to, any of the following:

- Behavioral/symptom interventions/management,
- Social and other therapeutically relevant skill development,
- Adaptive skill training,
- Enhancement of communication and problem-solving skills,
- Anger management,
- Family support, including training of family/caregivers and others who have a legitimate role in addressing the needs identified in the Person Centered Plan
- Monitoring of psychiatric symptoms and self management of symptoms/behaviors,
- Relapse prevention and disease management strategies, and
- Related positive behavior support activities and reinforcements.



## **DRAFT**

In addition, Day Treatment provides case management services including, but not limited to, the following:

- Assessing the child's needs for comprehensive services
- Linking the child and/or family to needed services and supports
- Monitoring the provision of services and supports
- Assessing the outcomes of services and supports
- Convening Child and Family Team meetings to coordinate the provision of multiple services and ensure appropriate modification of the PCP over time.

Children and adolescents may be residents of their own home, a substitute home, or a Residential Treatment Level II (Program/Family Type) or Level III setting. However, the day treatment shall be provided in a setting separate from the consumer's residence.

A service order for child and adolescent Day Treatment must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

### **Provider Requirements**

Day Treatment shall be delivered by a provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement and information services infrastructure necessary to provide services. Provider organizations shall demonstrate that they meet these standards by being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The provider organization shall be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

### **Staffing Requirements**

A program director who meets the requirements specified for a QP and has a minimum of two years experience in child and adolescent mental health/substance abuse treatment services must be present in developing and implementing services. Minimum ratio of one QP staff to every six consumers is required to be present. A minimum of staff to consumer ratio shall be present with the consumers at all times and staffing configuration must be adequate to anticipate and meet consumer needs. Psychiatric consultation shall be available for each consumer.

Day Treatment includes professional services on an individual and group basis in a structured community based setting. Persons who meet the requirements specified for QP or AP status according to 10A NCAC 27G.0104 may deliver Day Treatment. Supervision is provided according to supervision requirements specified in 10A NCAC 27G.0203 and according to licensure requirements of the appropriate discipline. Paraprofessional level providers who meet the requirements specified for Paraprofessional status and who have the knowledge, skills and abilities required by the population and age to be served may deliver Day Treatment within the requirements of the staff definition specific in the above role. When a Paraprofessional provides Day Treatment services, a QP or AP is responsible for overseeing the development of the recipient's Person Centered Plan/Child and Family Plan. When Paraprofessionals provide Day Treatment services, they shall be under the supervision of a QP or AP. Supervision of Paraprofessionals is to be carried out according to 10A NCAC 27G.0204.

## **DRAFT**

For programs providing services to children with primary substance abuse or dependence diagnoses: Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver Day Treatment services. Services may also be provided by staff who meet the requirements specified for QP or AP status according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G and who have the knowledge, skills and abilities required by the population and age to be served may deliver Day Treatment services, under the supervision of a CCAS or CCS.

### **Service Type/Setting**

This is a day/night service that shall be available a minimum of three hours a day during all days of operation. Must be in operation a minimum of two days per week.

This is a facility based service and is provided in a licensed and structured program setting appropriate for the developmental age of children and adolescents. At least 50% of the treatment services shall be provided in the on-site licensed setting.

### **Utilization Management**

In order for day treatment service to be reimbursable, all of the following shall apply:

1. The child shall meet clinical necessity criteria for Day Treatment services as outlined below.
2. The service shall be reflected in the child's Person Centered Plan.

Authorization by the statewide vendor or the LME is required. Utilization review shall be conducted 30 days after the first date of service or on the first business day thereafter. Subsequently, Utilization Review shall be provided every 30 days thereafter or more frequently as needed. All utilization review activity shall be documented in the Provider's Service Plan.

### **Entrance Criteria**

- A. Shall have an Axis I or II diagnosis based on DSM IV-TR criteria.

**AND**

- B. The client's treatment needs meets Level of Care criteria.

**AND**

- C. The client is experiencing symptoms/behaviors related to his/her diagnosis that severely impair functional ability in academic, social, vocational, community, or family domains.

**AND**

- D. Any one of the following shall apply:

1. The child is living in a family setting and is at risk of being removed from that setting for reasons related to items 1-3, immediately above.

**OR**

2. The child is at risk of or has already experienced significant preschool/school disruption (multiple suspensions, long term suspensions, expulsion, impaired or destructive peer relationships, etc.) for reasons related to items 1-3 above.

**AND**

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E. Any of the following apply:

1. Client requires a Day Treatment to acquire any of the following: improved coping skills and strategies, disability management strategies, or strategies for managing behaviors associated with functional impairments.

**OR**

2. The child is living in a Residential Treatment Level II (Program/Family Type) or Level III setting and Day Treatment is necessary to optimize treatment gains in that setting

**OR**

3. The child is 3 to 5 years of age with atypical social and emotional development and manifest behaviors of a diagnosable mental disorder without therapeutic intervention.

### **Continued Stay Criteria**

Any one of the following apply:

- A. Recipient has achieved initial PCP/Child and Family Plan goals and additional goals are indicated.
- B. Recipient is making satisfactory progress toward meeting goals but goals have not yet been fully met.
- C. Recipient is making some progress, but the PCP/Child and Family Plan (specific interventions) need to be modified so that greater gains can be achieved.
- D. Recipient is not making progress; the PCP/Child and Family Plan must be modified to identify more effective interventions.
- E. Recipient is regressing; the PCP/Child and Family Plan must be modified to identify more effective interventions.

**AND**

Utilization review shall be conducted 30 days after the first date of service or on the first business day thereafter. Subsequently, Utilization Review shall be provided every 30 days thereafter or more frequently as needed. All utilization review activity shall be documented in the Provider's Service Plan.

### **Discharge Criteria**

Any of the following apply:

- A. Consumer has achieved goals, discharge and transition plan to a lower level of care is indicated.
- B. Consumer is not making progress, or is regressing and all realistic treatment options with this modality have been exhausted indicating a need for more intensive services.
- C. Consumer and family determine this service is no longer needed in consultation with a QP.

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the consumer and/or legal guardian about their appeal rights.

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### **Expected Outcomes**

- Child is able to remain in their home.
- Child is making satisfactory school progress and with interactions with staff and peers.
- Child will acquire behavioral/coping skills/symptom and behavior management needed to enhance functioning and resiliency.
- Child will acquire strategies to minimize the ongoing impact of mental health or substance related disabilities on their level of functioning and quality of life.
- Child will be reintegrated into school settings or transition into employment.

### **Documentation Requirements**

Minimum documentation is a daily service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's intervention, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

The PCP shall include a Crisis Plan and a Transition Plan. The service record shall reflect outcomes sustained and progress toward implementing the Transition Plan. These shall be noted, minimally, at Utilization Review intervals and/or service team meetings. Transition planning should be coordinated through the Child and Family Team and with the local system of care (as necessary) including the local education agency, other involved individuals and community providers such as social services, juvenile justice and vocational rehabilitation.

An MD or licensed psychologist shall order this service prior to or on the day the service is initiated.

### **Service Exclusions**

Day Treatment can only be provided by one Day Treatment provider at a time.

- Educational skills that are usually taught in primary or secondary school settings; e.g., reading, math, writing, etc. are not reimbursable. Such skills and educational advancement should be coordinated with and provided by the local education agency.
- This service may not be provided in the consumer's place of residence.
- This service is only to be provided in a community based setting.
- This service may not be provided during the same authorization period with the following services: Residential Treatment Level IV, psychiatric residential treatment facility (PRTF), inpatient hospital setting, Substance Abuse Intensive Out-patient Services, SA residential facilities, Multisystemic Therapy, Community Support (except as noted below), or Intensive In-Home Services.
- Community support services can be billed for a maximum of 8 units per month in accordance with the person centered plan for the individuals who are receiving SAIOP, or Substance abuse residential services for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS professional and discharge planning.

## **DRAFT**

# **Psychiatric Residential Treatment Facility (PRTF) Medicaid Billable Service**

### **Service Definition and Required Components**

Psychiatric Residential Treatment Facility (PRTF) provides care for children who have mental illness or substance abuse/dependency and who are in need of services in a non-acute inpatient facility. This service may be provided when an individual does not require acute care, but requires supervision and specialized interventions on a 24-hour basis to attain a level of functioning that allows subsequent substance abuse or mental health treatment in a less restrictive setting. Therapeutic interventions address functional deficits associated with the consumer's diagnosis and include psychiatric treatment, and specialized substance abuse and mental health therapeutic care.

This service is available for recipients under 21 years of age or who are in treatment at age 21. Continued treatment may be provided until the 22nd birthday as long as it is medically necessary. Discharge planning starts on the day of admission. It should be noted that adolescents who appropriately require this level of care might have demonstrated unlawful or criminal behaviors. Therefore, this level of care may be court-ordered as an alternative to incarceration. This court order does not automatically certify PRTF admissions. Further, this program will not be used when the primary problems are social or economic (placement) issues alone. Medical necessity criteria must still be met for certification.

A service order for PRTF must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

### **Provider Requirements**

PRTFs must be accredited through one of the following: Joint Commission on Accreditation of Healthcare Organizations; the Commission on Accreditation of Rehabilitation Facilities, or the Council on Accreditation. In addition hospital licensure or 122c licensure is required. This program must be provided under the direction of a board eligible/certified child psychiatrist or general psychiatrist with demonstrated experience in the treatment of children and adolescents, and the services must be therapeutically appropriate and meet medical necessity criteria as established by the state. PRTF services must be delivered by practitioners employed by a mental health or substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

### **Staffing Requirements**

This program must be provided under the direction of a board eligible/certified child psychiatrist or general psychiatrist with demonstrated experience in the treatment of children and adolescents, and the services must be therapeutically appropriate and meet medical necessity criteria as established by the state. 24-hour coverage by a registered nurse is required.

## **DRAFT**

For programs providing services to children with primary substance abuse/dependency diagnoses: Persons who meet the requirements specified for CCAS, CCAS, and CSAC under Article 5C may deliver PRTF services. The program must be under the clinical supervision of a CCAS or CCS who is on site a minimum of 8 hours per day when the service is in operation and available by phone 24 hours a day. Services may also be provided by staff who meet the requirements specified for Qualified Substance Abuse Professional or Associate Substance Abuse Professional status according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver PRTF services, under the supervision of a CCAS or CCS.

### **Service Type/Setting**

This service can be provided in either a hospital-based or a non-hospital setting. Facility licensed as .1500 Intensive Residential Treatment for Children and Adolescents Who Are Emotionally Disturbed Or Who Have A Mental Illness or a licensed hospital.

### **Program Requirements**

See Service Definitions and Required Components.

### **Utilization Management**

Authorization by the statewide vendor is required. The prior approval process for PRTF begins when the LME becomes aware that a recipient is in need of services. An assessment is done to determine medical necessity and the appropriate level of care. The services must be included in the individual's Person Centered Plan. Once the level of care is determined, the LME will contact the independent utilization review contractor for Medicaid. Federal regulations require a certification of need (CON) form to be completed prior to admission when the recipient is already Medicaid-eligible or Medicaid is pending. The CON must meet all federal requirements and a copy must be maintained in the recipient's medical record. If application for Medicaid is made after admission, a CON must be done at the time the application is made and the independent utilization reviewer contacted immediately so that review can begin. Authorization for payment will be determined by the latest date of a signature on the CON form. Concurrent review will occur every 30 days.

### **Certification of Need Process**

A certification of need (CON) process is necessary and must be performed by an independent team that includes a physician who has competence in diagnosis and treatment of mental illness, preferably in child psychiatry; and has knowledge of the individual's situation. For an individual who applies for Medicaid while in the facility/program, the certification (CON) must be performed by the team responsible for the plan of care and cover any period prior to the application date for which the facility is seeking to have Medicaid coverage begin.

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The CON for PRTF services must certify that:

1. Ambulatory care resources available within the community are insufficient to meet the treatment needs of the recipients; and
2. The individual's condition is such that it requires services on an inpatient basis under the direction of a board-eligible or certified child and adolescent psychiatrist or general psychiatrist with experience in treating children and adolescents; and
3. The services can reasonably be expected to improve the recipient's presenting condition or prevent further regression so that the services will no longer be needed.

**Note:** CONs cannot be retroactive for PRTF.

### **Entrance Criteria**

Must meet Level D in DMH's Level of Care Document

#### **OR**

- A. There is an Axis I diagnosis of substance abuse/dependency disorder present

#### **AND**

- B. ASAM Adolescent Level of Care Criteria Level III.7 Medically Monitored High-Intensity Inpatient Treatment

#### **AND**

The need for this level of treatment arises from a mental health or substance abuse diagnosis (DSM-IV) that requires and can be reasonably expected to respond to therapeutic interventions.

#### **AND**

The child/adolescent's condition is not amenable to treatment outside a highly specialized secured therapeutic environment under daily supervision of a treatment team directed by and with 24 hour access to a board eligible/certified psychiatrist or general psychiatrist with experience in treating children and adolescents.

#### **OR**

Less restrictive levels of care (Levels 1-4, or Non-Medical Community Residential Treatment) have been attempted within the last 3 months and have failed or been ineffective with history of poor treatment compliance.

#### **OR**

The child is not at an acute level but is in need of extended diagnostic evaluation to determine appropriate treatment

#### **AND**

The child/adolescent can reasonably be expected to respond favorably to the specialized SA/MH therapeutic interventions/modalities employed by the Psychiatric Residential Treatment Facility.

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### **Continued Stay Criteria**

1. Spectrum of symptoms leading to admission have not remitted sufficiently to allow discharge to a lower level of care or the individual has manifested new symptoms or maladaptive behaviors that meet initial authorization criteria and the Person Centered Plan has been revised to incorporate new goals

**AND**

2. Individual shows continued progress towards goals as reflected in documentation and Person Centered Plan must be adjusted to reflect progress

**AND**

3. The child's family, legal guardian and/or home community is actively engaged in treatment and ongoing discharge planning

**OR**

4. Indicated SA/MH therapeutic interventions have not yet been employed

**AND**

Utilization review will be performed by an independent utilization review contractor, prior to admission and at least every 30 days by a telephonic review. All denials will be based on physician review decisions.

### **Service Maintenance Criteria**

If the consumer is functioning effectively at this level of treatment and discharge would otherwise be indicated, this level of service should be maintained when it can be reasonably anticipated that regression is likely to occur if the service were to be withdrawn. This decision should be based on at least one of the following:

1. There is a past history of regression in the absence of this service.
2. There are current indications that the consumer requires this residential service to maintain level of functioning, as evidenced by difficulties experienced on therapeutic visits/stays in a non-treatment residential setting or in a lower level of residential treatment.
3. In the event there are epidemiologically sound expectations that symptoms will persist and that ongoing treatment interventions are needed to sustain functional gains, the nature of the child's DSM-IV diagnosis necessitates a disability management approach.

### **Discharge Criteria**

1. Consumer's needs can now be met at a less restrictive level of care.
2. Community placement/supportive services package exist that is able to adequately meet the needs of the recipient
3. Treatment goals related to problems leading to admission have been adequately met.
4. Legal guardian has withdrawn consent for treatment
5. No evidence of progress towards treatment goals and the treatment team has no expectation of progress at this level of care

### **Expected Outcome**

The individual will attain a level of functioning including stabilization of psychiatric symptoms and establishment of abstinence sufficient to allow for subsequent substance abuse or mental health treatment in a less restrictive setting.



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### **Documentation Requirements**

Must meet documentation requirements of the accrediting body as well as Medicaid requirements listed in the Service Records Manual. Minimum standard is a shift note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service.

### **Service Exclusions**

This service cannot be billed on the same day as any other MH/SA service except for the case management component of Community Support for discharge planning purposes only. Community Support-Case management services must be delivered in coordination with the PRTF provider and be documented in the PCP. Discharge planning should begin upon admission to this service.

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**SUBSTANCE ABUSE SERVICES**  
**Medicaid Billable Service**

**Diagnostic Assessment**

See Diagnostic/Assessment (MH/DD/SA) service.

**Mobile Crisis Management**

See Mobile Crisis Management (MH/DD/SA) service.

**Community Support – Adult**

See Community Support – Adult (MH/SA).

**Community Support – Child/Adolescents**

See Community Support – Child/Adolescents (MH/SA).

**Community Support Team – Adult**

See Community Support Team —Adult (MH/SA).

## **DRAFT**

### **Substance Abuse Intensive Outpatient Program Medicaid Billable Service**

#### **Level II.1 Intensive Outpatient Services ASAM Patient Placement Criteria**

##### **Service Definition and Required Components**

SA Intensive Outpatient Program (SAIOP) means structured individual and group addiction activities and services that are provided at an outpatient program designed to assist adult and adolescent consumers to begin recovery and learn skills for recovery maintenance. The program is offered at least three (3) hours per day at least three (3) days per week with no more than two consecutive days between offered services, and distinguishes between those individuals needing between no more than 19 hours per week of structured services per week (ASAM Level II.1). The recipient must be in attendance for a minimum of three (3) hours per day in order to bill this service. SAIOP services shall include a structured program consisting of, but not limited to, the following services:

1. Individual counseling and support;
2. Group counseling and support;
3. Family counseling, training or support;
4. Biochemical assays to identify recent drug use e.g. urine drug screens);
5. Strategies for relapse prevention to include community and social support systems in treatment;
6. Life skills;
7. Crisis contingency planning;
8. Disease Management; and
9. Treatment support activities that have been adapted or specifically designed for persons with physical disabilities, or persons with co-occurring disorders of mental illness and substance abuse/dependence or mental retardation/developmental disability and substance abuse/dependence.

SAIOP can be designed for homogenous groups of recipients e.g., pregnant women, and women and their children; individuals with co-occurring MH/SA disorders; individuals with HIV; or individuals with similar cognitive levels of functioning. Group counseling shall be provided each day SAIOP services are offered. SAIOP includes case management to arrange, link or integrate multiple services as well as assessment and reassessment of the recipient's need for services. SAIOP services also informs the recipient about benefits, community resources, and services; assists the recipient in accessing benefits and services; arranges for the recipient to receive benefits and services; and monitors the provision of services. Consumers may be residents of their own home, a substitute home, or a group care setting; however, the SAIOP must be provided in a setting separate from the consumer's residence. The program is provided over a period of several weeks or months.

A service order for SAIOP must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

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### **Provider Requirements**

SAIOP must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

Organizations that provide SAIOP must provide “first responder” crisis response on a 24/1/365 basis to recipients who are receiving this service

### **Staffing Requirements**

Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver SAIOP. The program must be under the clinical supervision of a CCS or a CCAS who is on site a minimum of 50% of the hours the service is in operation. Services may also be provided by staff who meet the requirements specified for QP or AP status for Substance Abuse according to 10A NCAC, under the supervision of a CCAS or CCS. The maximum face-to-face staff-to-client ratio is not more than 12 adult consumers to 1 QP based on an average daily attendance. The ratio for adolescents will be 1:6. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G and who have the knowledge, skills, and abilities required for the population and age to be services may deliver SAIOP, under the supervision of a CCAS or CCS.

### **Service Type/Setting**

Facility licensed under 10A NCAC 27G.3700.

### **Program Requirements**

See Service Definition and Required Components.

### **Utilization Management**

Authorization by the statewide vendor or the LME if approved by DHHS is required. The amount, duration, and frequency of SAIOP Service must be included in an individual’s authorized Person Centered Plan. Services may not be delivered less frequently than the structured program set forth in the service description above. Initial authorization for services will not exceed a duration of 12 weeks. Under exceptional circumstances, one additional reauthorization up to 2 weeks can be approved. This service is billed with a minimum of three (3) hours per day as an event.

### **Entrance Criteria**

The recipient is eligible for this service when:

A. There is an Axis I substance abuse disorder present;

**AND**

B. Level of Care Criteria, level II.1 NC Modified A/ASAM

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### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Recipient has achieved positive life outcomes that support stable and ongoing recovery, and additional goals are indicated.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **Expected Outcomes**

The expected outcome of SAIOP is abstinence. Secondary outcomes (i.e., in *abstinent* patients) include: sustained improvement in health and psychosocial functioning, reduction in any psychiatric symptoms (if present), reduction in public health and/or safety concerns, and a reduction in the risk of relapse as evidenced by improvement in empirically supported modifiable relapse risk factors.

### **Documentation Requirements**

Minimum standard is a daily full service note for each day of SAIOP that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, and the signature and credentials of the staff providing the service. A documented discharge plan will be discussed with the recipient and included in the record

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

- 1. Recipient has achieved positive life outcomes that support stable and ongoing recovery.
- 2. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
- 3. Recipient no longer wishes to receive SAIOP services.

### **Service Exclusions/Limitations**

SAIOP cannot be billed during the same authorization as SA Comprehensive Outpatient Treatment, all detoxification services levels, Non-Medical Community Residential Treatment or Medically Monitored Community Residential Treatment.

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**Service Limitations:** Community support services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving SAIOP services for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS professional and discharge planning.

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### **Substance Abuse Comprehensive Outpatient Treatment Program Medicaid Billable Service**

#### **Level II.5 Partial Hospitalization ASAM Patient Placement Criteria**

##### **Service Definition and Required Components**

SA Comprehensive Outpatient Treatment (SACOT) Program means a periodic service that is a time-limited, multi-faceted approach treatment service for adults who require structure and support to achieve and sustain recovery. SACOT Program is a service emphasizing reduction in use and abuse of substances and/or continued abstinence, the negative consequences of substance abuse, development of social support network and necessary lifestyle changes, educational skills, vocational skills leading to work activity by reducing substance abuse as a barrier to employment, social and interpersonal skills, improved family functioning, the understanding of addictive disease, and the continued commitment to a recovery and maintenance program. These services are provided during day and evening hours to enable individuals to maintain residence in their community, continue to work or go to school, and to be a part of their family life. The following types of services are included in the SACOT Program:

1. Individual counseling and support;
2. Group counseling and support;
3. Family counseling, training or support;
4. Biochemical assays to identify recent drug use (e.g., urine drug screens);
5. Strategies for relapse prevention to include community and social support systems in treatment;
6. Life skills;
7. Relapse prevention;
8. Crisis contingency planning;
9. Disease management; and
10. Treatment support activities that have been adapted or specifically designed for persons with physical disabilities or persons with co-occurring disorders of mental illness and substance abuse/dependence or mental retardation/developmental disability and substance abuse/dependence.

SACOT programs can be designed for homogenous groups of recipients e.g., individuals being detoxed on an outpatient basis; individuals with chronic relapse issues; pregnant women, and women and their children; individuals with co-occurring MH/SA disorders; individuals with HIV; or individuals with similar cognitive levels of functioning. SACOT includes case management to arrange, link or integrate multiple services as well as assessment and reassessment of the recipient's need for services. SACOT services also inform the recipient about benefits, community resources, and services; assists the recipient in accessing benefits and services; arranges for the recipient to receive benefits and services; and monitors the provision of services. Consumers may be residents of their own home, a substitute home, or a group care setting; however, the SACOT Program must be provided in a setting separate from the consumer's residence.

A service order for SACOT must be completed prior to or on the day that the services are to be provided by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice.

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This service must operate at least 20 hours per week and offer a minimum of 4 hours of scheduled services per day, with availability at least 5 days per week with no more than 2 consecutive days without services available. The recipient must be in attendance for a minimum of four (4) hours per day in order to this for this service. Group counseling services must be offered each day the program operates. Services must be available during both day and evening hours. A SACOT Program may have variable lengths of stay and reduce each individual's frequency of attendance as recovery becomes established and the individual can resume more and more usual life obligations. The program conducts random drug screening and uses the results of these tests as part of a comprehensive assessment of participants' progress toward goals and for Person Centered Planning.

### **Provider Requirements**

SACOT Program must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

Organizations that provide SACOT must provide "first responder" crisis response on a 24/7/365 basis to recipients who are receiving this service.

### **Staffing Requirements**

Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver SACOT Program. Clinical services must be provided by a CCAS or CCS who is on site a minimum of 90% of the hours the service is in operation. Supplemental services may also be provided by staff who meet the requirements specified for QP or AP status for Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCS. The maximum face-to-face staff-to-client ratio is not more than 10 adult consumers to 1 CCS, CCAS or CSAC based on an average daily attendance. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver SACOT Program, under the supervision of CCAS, CSAC or CCS. Paraprofessional level providers may not provide services in lieu of on-site service provision to recipients by a qualified CCS, CCAS or CSAC.

### **Consultation Services**

Recipients must have ready access to psychiatric assessment and treatment services when warranted by the presence of symptoms indicating a co-occurring non-substance related Axis I or Axis II disorder (e.g. major depression, schizophrenia, borderline personality disorder). These services shall be delivered by a psychiatrists who meet requirements as specified in NCAC 27G.0104. The providers shall be familiar with the SACOT Program treatment plan for each recipient seen in consultation, shall have access to SACOT Program treatment records for the recipient, and shall be able to consult by phone or in person with the CCS, CCAS or CSAC providing SACOT Program services.

### **Service Type/Setting**

Facility licensed in accordance with TBD.



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### **Program Requirements**

See Service Definition and Required Components.

### **Utilization Management**

Authorization by the statewide vendor or the LME if approved by DHHS is required. The amount, duration, and frequency of the services must be included in an individual's authorized Person Centered Plan. Services may not be recommended to occur less frequently than the structured program's requirements set forth in the service description above. Utilization review will occur every 30 days. This service is billed with a minimum of four (4) hours per day as an event.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. There is an Axis I diagnosis of a Substance Abuse disorder diagnosis.

**AND**

- B. Level of Care Criteria Level II.5 NC Modified A/ASAM

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

1. Recipient has achieved initial Person Centered Plan goals and continued service at this level is needed to meet additional goals.
2. Recipient is making satisfactory progress toward meeting goals.
3. Recipient is making some progress, but the PCP (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
4. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
5. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

**AND**

Utilization review must be conducted every 30 days and is so documented in the Person Centered Plan and the service record.

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### Discharge Criteria

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

1. Recipient has achieved positive life outcomes that supports stable and ongoing recovery.
2. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
3. Recipient/family no longer wishes to receive SACOT services.

### Expected Outcomes

The expected outcome is abstinence. Secondary outcomes (i.e., in *abstinent* patients) include: sustained improvement in health and psychosocial functioning, reduction in any psychiatric symptoms (if present), reduction in public health and/or safety concerns, and a reduction in the risk of relapse as evidenced by improvement in empirically-supported modifiable relapse risk factors. For individuals with co-occurring MH/SA disorders, improved functioning is the expected outcome.

### Documentation Requirements

Minimum standard is a daily full service note for each day of SACOT that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, plan of care, and the signature and credentials of the staff providing the service. A documented discharge plan will be discussed with the recipient and included in the record

### Service Exclusions/Limitations

SACOT cannot be billed during the same authorization as SA Intensive Outpatient Program, all detoxification services levels (with the exception of Ambulatory Detoxification) or Non-Medical Community Residential Treatment or Medically Monitored Community Residential Treatment.

**Service Limitation:** Community Supports services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving SACOT services for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS professional and discharge planning.

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**Substance Abuse Non-Medical Community Residential Treatment  
Medicaid Billable Service  
(When Furnished in a Facility that Does Not Exceed 16 Beds and is Not an  
Institution for Mental Diseases for Adults)  
(Room and Board is Not Included)**

**Level III.5 Clinically Managed High-Intensity Residential Treatment**

**NC Modified ASAM Patient Placement Criteria**

**Examples:** Residential Recovery Homes (CASAWORKS for Families Residential Programs), Perinatal/Maternal Residential Programs, Child SA Residential (McLeod, Bethesda LINK).

**Service Definition and Required Components**

Non-medical Community Residential Treatment is a 24-hour residential recovery program professionally supervised residential facility that provides trained staff who work intensively with adolescents 17 years old or younger (for Medicaid: 20 years old or younger) **or** individuals of any age with substance abuse disorders who provide or have the potential to provide primary care for their minor children. This is a rehabilitation facility, without twenty-four hour per day medical nursing/monitoring, where a planned program of professionally directed evaluation, care and treatment for the restoration of functioning for persons with an addiction disorder.

These programs shall include assessment/referral, individual and group therapy, family therapy, recovery skills training, disease management, symptom monitoring, monitoring medications and self management of symptoms, aftercare, follow-up and access to preventive and primary health care including psychiatric care. The facility may utilize services from another facility providing treatment, psychiatric, support or medical services. Services shall promote development of a social network supportive of recovery, enhance the understanding of addiction, promote successful involvement in regular productive activity (such as school or work), enhance personal responsibility and promote successful reintegration into community living. Services shall be designed to provide a safe and healthy environment for consumers and their children.

Program staff will arrange, link or integrate multiple services as well as assessment and reassessment of the recipient's need for services. Program staff will inform the recipient about benefits, community resources, and services; assist the recipient in accessing benefits and services; arrange for the recipient to receive benefits and services; and monitor the provision of services.

**For programs providing services to adolescents:** each adolescent shall also receive in accordance with their Person-Centered Plan services that are adapted to the adolescent's developmental and cognitive level. In addition, educational services are arranged and are designed to maintain the educational and intellectual developmental of the adolescent.

**For programs providing services to individuals with their children in residence and/or pregnant women:** Each adult shall also receive in accordance with their Person-Centered Plan: training in therapeutic parenting skills, basic independent living skills, child supervision, one-on-one interventions with the community to develop interpersonal and community coping skills, including adaptation to school and work environments and therapeutic mentoring. In addition, their children shall receive services in accordance with 10A NCAC 27G.4100.

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A service order for NMCRT must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

### **Provider Requirements**

NMCRT must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

Organizations that provide NMCRT must provide "first responder" crisis response on a 24/7/365 basis to recipients receiving this service.

### **Staffing Requirements**

Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver NMCRT. Programs providing services to adolescents must have experience working with the population. The program must be under the clinical supervision of a CCAS or CCS who is on site a minimum of 8 hours per day when the service is in operation and available by phone 24 hours a day. Services may also be provided by staff who meet the requirements specified for QP or AP status for Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver NMCRT, under the supervision of a CCAS or CCS. Paraprofessional level providers may not provide services in lieu of on-site service provision by a qualified professional, CCS, CCAS or CSAC.

### **Service Type/Setting**

Programs for pregnant women and/or individuals with children in residence shall be licensed under 10A NCAC 14V.4100 for residential recovery programs.

Programs for adolescents: licensure to be determined.

### **Program Requirements**

See Service Definition and Required Components and 10A NCAC 27G.4100 for residential recovery programs.

To be determined for adolescents.

### **Utilization Management**

Authorization by the statewide vendor or the LME approved by DHHS is required. Service must be included in the individual's Person Centered Plan. The initial utilization review for parents with children programs must occur within 30 days and every 90 days thereafter. The initial utilization review for adolescent programs must occur within 30 days and every 30 days thereafter.

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### **Entrance Criteria**

The recipient is eligible for this service when:

- A. There is an Axis I diagnosis of a substance abuse disorder

**AND**

- B. Level of Care Criteria Level III.5 NC Modified A/ASAM

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- Recipient has achieved initial person centered plan goals and requires this service in order to meet additional goals.
- Recipient is making satisfactory progress toward meeting goals.
- Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's pre-morbid level of functioning, are possible or can be achieved.
- Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

**AND**

Utilization review must be conducted every 90 days (after the initial 30 day UR) for the parents with children programs and is so documented in the Person Centered Plan and the service record. Utilization review must be conducted every 30 days for the adolescent programs and is so documented in the Person Centered Plan and the service record.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

1. Recipient has achieved positive life outcomes that supports stable and ongoing recovery (and parenting skills, if applicable).
2. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
3. Recipient/family no longer wishes to receive NMCRT services.

### **Expected Outcomes**

The expected outcome is abstinence. Secondary outcomes (i.e., in *abstinent* patients) include: sustained improvement in health and psychosocial functioning, reduction in any psychiatric symptoms (if present), reduction in public health and/or safety concerns, and a reduction in the risk of relapse as evidenced by improvement in—empirically-supported modifiable relapse risk factors. Additionally, for Residential Recovery Programs, improved parenting is an expected outcome.

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### **Documentation Requirements**

Minimum standard is a shift note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. Residential Recovery Programs for women and children shall also provide documentation of all services provided to the children in the program. Goals for parent-child interaction shall be established and progress towards meeting these goals shall be documented in the parent's service record. A documented discharge plan discussed with the recipient is included in the record.

### **Service Exclusions/Limitations**

Non-Medical Community Residential Treatment cannot be billed the same day as any other MH/SA services.

**Service Limitations:** Community support services can be billed for a maximum of 8 units per month in accordance with the person centered plan for individuals who are receiving Non-Medical Community Residential Treatment Services for the purpose of facilitating transition to the service, admission to the service, meeting with the person as soon as possible upon admission, providing coordination during the provision of service, ensuring that the service provider works directly with the CS professional and discharge planning

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### **Substance Abuse Medically Monitored Community Residential Treatment Medicaid Billable Service (When Furnished in a Facility that Does Not Exceed 16 Beds and is Not an Institution for Mental Diseases [IMD]) (Room and Board is Not Included)**

#### **Level III.7 Medically Monitored Intensive Inpatient Treatment**

#### **NC Modified ASAM Patient Placement Criteria**

**Examples:** McLeod, Swain, Hope Valley, ARCA.

#### **Service Definition and Required Components**

Medically Monitored Community Residential Treatment is a non-hospital twenty-four hour rehabilitation facility for adults, with twenty-four hour a day medical/nursing monitoring, where a planned program of professionally directed evaluation, care and treatment for the restoration of functioning for persons with alcohol and other drug problems and/or addiction occurs.

A service order for MMCRT must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

MMCRT must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

Organizations that provide NMCRT must provide "first responder" crisis response on a 24/7/365 basis to the recipients who are receiving this service.

#### **Staffing Requirements**

Medically Monitored Community Residential Treatment is staffed by physicians who are available 24 hours a day by telephone and who conduct assessments within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication administration on an hourly basis. Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver MMCRT. The program must be under the clinical supervision of a CCAS or CCS who is on site a minimum of 8 hours per day when the service is in operation and available by phone 24 hours a day. Services may also be provided by staff who meet the requirements specified for QP or AP status in Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver MMCRT, under the supervision of a

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CCAS or CCS. Paraprofessional level providers may not provide services in lieu of no-site service provision to recipients by a qualified professional, CCS, CCAS or CSAC.

### **Service Type/Setting**

Facility licensed under 10A NCAC 27G.3400.

### **Program Requirements**

See Service Definition and Required Components.

### **Utilization Management**

Authorization by the statewide vendor or the LME if approved by DHHS is required. The amount and duration of the service must be included in the individual's authorized Person Centered Plan. The initial authorization shall be no more than 14 days. In exceptional circumstances, up to an additional 7 days may be authorized following utilization review documented in the Person Centered Plan and service record. An example of such circumstances includes accomplishing an effective transition to another level of care.

### **Entrance Criteria**

The recipient is eligible for this service when:

- A. There is an Axis I diagnosis of a substance abuse disorder

**AND**

- B. Level of Care Criteria Level III.7 NC Modified ASAM

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- Recipient has achieved positive life outcomes that supports stable and ongoing recovery and services need to be continued to meet additional goals.
- Recipient is making satisfactory progress toward meeting goals.
- Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

**AND**

Utilization review must be conducted within 14 days and is so documented in the Person Centered Plan and the service record.



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### Discharge Criteria

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

1. Recipient has achieved positive life outcomes that support stable and ongoing recovery.
2. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
3. Recipient no longer wishes to receive MMCRT services. (Note that although a recipient may no longer wish to receive MMCRT services, the recipient must still be provided with discharge recommendations that are intended to help the recipient meet expected outcomes).

### Expected Outcomes

The expected outcome is abstinence. Secondary outcomes (i.e., in *abstinent* patients) include: sustained improvement in health and psychosocial functioning, reduction in any psychiatric symptoms (if present), reduction in public health and/or safety concerns, and a reduction in the risk of relapse as evidenced by improvement in empirically-supported modifiable-relapse risk factors.

Upon successful completion of the treatment plan there will be successful linkage to the community of the recipient's choice for ongoing step down or support services.

### Documentation Requirements

Minimum standard is a shift note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. A discharge plan shall be discussed with the client and included in the record.

### Service Exclusions/Limitations

This service cannot be billed the same day as any other MH/SA service except CW, CST or ACTT.

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### **Substance Abuse Halfway House Not a Medicaid Billable Service**

#### **Level III.1 Clinically Managed Low-Intensity Residential Treatment**

##### **NC Modified ASAM Patient Placement Criteria**

##### **Service Definition and Required Components**

Clinically managed low intensity residential services are provided in a 24 hour facility where the primary purpose of these services is the rehabilitation of individuals who have a substance abuse disorder and who require supervision when in the residence. The consumers attend work, school, and SA treatment services. 10A NCAC 27G.5600 sets forth required service components.

Rehab Services components offered within this level of care must include the following:

1. Disease management
2. Vocational, educational, or employment training.
3. Support services for early recovery and relapse prevention
4. Linkage with the self-help and other community resources for support (e.g. 12-step meetings, faith-based programs, etc.)

A service order for substance abuse Halfway House must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

##### **Provider Requirements**

Halfway House must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

##### **Staffing Requirements**

Staff requirements specified in licensure rule 10A NCAC 27G.5600.

##### **Service Type/Setting**

Facility licensed under 10A NCAC 27G.5600.

##### **Program Requirements**

See Service Definition and Required Components and licensure requirements.

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### **Utilization Management**

Authorization by the LME is required. The amount and duration of this service must be included in an authorized individual's Person Centered Plan. Initial authorization for services will not exceed 180 days.

### **Entrance Criteria**

The recipient is eligible for this service when:

A. There is an Axis I substance abuse disorder present;

**AND**

B. Level of Care Criteria, level III.1 OR level III.3 NC Modified A/ASAM

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- Recipient has achieved initial Person Centered Plan goals and additional goals are indicated.
- Recipient is making satisfactory progress toward meeting goals.
- Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- Recipient is not making progress; the person centered plan must be modified to identify more effective interventions.
- Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

**AND**

Utilization review must be conducted every 90 days and is so documented in the Person Centered Plan and the service record.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

1. Recipient has achieved positive life outcomes that support stable and ongoing recovery.
2. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted indicating a need for more intensive services.
3. Recipient/family no longer wishes to receive Halfway House services.

### **Expected Outcomes**

The expected outcome of Halfway House is abstinence. Secondary outcomes (i.e. in *abstinent* patients) include: sustained improvement in health and psychosocial functioning, reduction in any psychiatric symptoms (if present), reduction in public health and/or safety concerns, and a reduction in the risk of relapse as evidenced by improvement in empirically-supported modifiable relapse risk factors.

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### **Documentation Requirements**

Minimum standard is a daily full service note for each day of Halfway House that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. A documented discharge plan discussed with the recipient is included in the record.

### **Service Exclusions/Limitations**

Halfway House may not be billed the same day as any other Residential Treatment or Inpatient Hospital service.

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### **Inpatient Hospital Substance Abuse Treatment Medicaid Billable Service (Using DRG)**

#### **Level IV Medically-Managed Intensive Inpatient Services**

#### **NC Modified ASAM Patient Placement Criteria**

**Example:** ATC, general hospital

#### **Service Definition and Required Components**

Medically-Managed Intensive Inpatient Service is an organized service delivered in an acute care inpatient setting by medical and nursing professionals that provides for 24-hour medically directed evaluation, withdrawal management, and intensive inpatient treatment. It is appropriate for patients whose acute biomedical, emotional, behavioral and cognitive problems are so severe that they require primary medical and nursing care.

A service order for Medically Managed Intensive Inpatient Services must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Medically Managed Intensive Inpatient Services must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

#### **Staffing Requirements**

Medically Managed Intensive Inpatient Services are staffed by physicians and psychiatrists, who are available 24 hours a day by telephone and who conduct assessments within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication administration on an hourly basis. Appropriately licensed and credentialed staff are available to administer medications in accordance with physician orders. Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver a planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Managed Intensive Inpatient Services. The planned regimen of 24-hour evaluation, care and treatment services must be under the clinical supervision of a CCS or CCAS who is who is available by phone 24 hours a day. The planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Managed Intensive Inpatient Services may also provided by staff who meet the requirements specified for QP or AP status in Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and

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abilities required by the population and age to be served may deliver the planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Managed Intensive Inpatient Services, under the supervision of a CCAS or CCS.

### **Service Type/Setting**

Services provided in a licensed 24-hour inpatient setting. This service may be provided in a licensed community hospital or a facility licensed under 10A NCAC 27G.6000

### **Utilization Management**

Authorization by the statewide vendor is required. Initial authorization is limited to seven days.

### **Entrance Criteria**

1. Psychiatric admission criteria/Medicaid beneficiaries under age 21 as specified in 10A NCAC 22O.0112 shall be applicable
2. Preadmission review criteria for substance abuse/Medicaid beneficiaries ages 21 through 64.

The following criteria are to be utilized for preadmission review for psychiatric treatment of adult substance use disorders:

- Any DSM-IV diagnosis of substance abuse or dependence and one of the following:
  - Need for skilled observation or therapeutic milieu necessitating inpatient treatment (e.g., inability to maintain abstinence despite attempts at lower levels of care, or unstable outpatient milieu such as family member with active substance use disorder)
  - Need for medical detoxification and not manageable by alternative treatment
  - Potential danger to self or others and not manageable by alternative treatment
  - Onset of, or risk for, seizures, delirium tremens or psychosis
  - Presence of significant medical disorder or other disabling psychiatric disorder necessitating inpatient treatment
- This is used in combination with American Society of Addiction Medicine (ASAM) criteria when appropriate. (Level of Care Criteria Level IV NC Modified A/ASAM.)

### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for relapse based on history or the tenuous nature of the functional gains or any one of the following apply:

- Recipient has achieved initial Person Centered Plan goals and these services are needed to meet additional goals.
- Recipient is making satisfactory progress toward meeting goals.
- Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains, which are consistent with the recipient's premorbid level of functioning, are possible or can be achieved.
- Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

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### **AND**

Utilization review must be conducted every 7 days and is so documented in the Person Centered Plan and the service record.

### **Discharge Criteria**

The patient continues in Medically Managed Intensive Inpatient Service until withdrawal signs and symptoms are sufficiently resolved that he or she can be safely managed at a less intensive level of care.

### **Expected Outcomes**

The expected outcome of this service is the establishment of abstinence sufficient to enable a transfer to a less restrictive level of care.

### **Documentation Requirements**

Minimum standard is a shift service note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature and credentials of the staff providing the service. In addition, detoxification rating scale tables and flow sheets (including tabulation of vital signs) are used as needed. A documented discharge plan should be discussed with the client and included in the medical record

### **Service Exclusions/Limitations**

This service cannot be billed on the same day as any other MH/SA service except for the case management component of Community Support for discharge planning purposes only. Community Support-Case management services must be delivered in coordination with the Inpatient SA Hospital provider and be documented in the PCP. Discharge planning should begin upon admission to this service.

Services are not covered when the medical necessity criteria for admission or continued stay or the Medicaid policies listed below are not followed:

- Prior authorization is required for recipients aged 0 through 64 when receiving behavioral health services. Hospitals must contact the utilization contractor for authorization within 48 working hours of an emergency admission.
- Prior authorization is not required for Medicare behavioral health services rendered to Medicare/Medicaid dually eligible recipients.
- Services are payable for recipients over the age of 21 or under the age of 65 in a free-standing psychiatric hospital.
- Out-of-state emergency admissions require prior approval from the utilization review contractor.
- A hospital that admits a patient who is not Medicaid eligible on or before admission or is pending eligibility, but who applies for Medicaid during a psychiatric hospitalization, must send the patient's entire medical record to the utilization review contractor for psychiatric review within 30 days of discharge.
- If a patient applies for Medicaid after hospital discharge, the patient's complete medical record should be sent to the utilization review contractor within four (4) months of the patient's Medicaid application date.
- Hospitals must obtain a Medicaid identification (MID) number for the recipient and send the MID number, along with the medical record, to the utilization review contractor. If eligibility reflects

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- that the Medicaid application occurred on or before admission rather than during the stay as reported, the hospital stay is not reimbursed.
- A Certificate of Need (CON) is required for admission to a free-standing hospital for recipients under the age of 21.
  - The CON must be completed before the date of admission or for emergencies within 14 days of admission. The utilization review contractor reviews the CONs that are submitted by hospitals to ensure that signatures of the interdisciplinary teams are complete and timely.
  - A copy of the CON must be maintained in the recipient's medical record.



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**DETOXIFICATION SERVICES**

**Ambulatory Detoxification  
Medicaid Billable Service**

**Level I-D Ambulatory Detoxification Without Extended On-Site Monitoring**

**NC Modified ASAM Patient Placement Criteria**

**Service Definition and Required Components**

Ambulatory Detoxification Without Extended On Site Monitoring (Outpatient Detox) is an organized outpatient service delivered by trained clinicians who provide medically supervised evaluation, detoxification and referral services according to a predetermined schedule. Such services are provided in regularly scheduled sessions. The services are designed to treat the patient's level of clinical severity and to achieve safe and comfortable withdrawal from mood-altering drugs (including alcohol) and to effectively facilitate the patient's transition into ongoing treatment and recovery.

A service order for Ambulatory Detoxification Without Extended On Site Monitoring must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

**Provider Requirements**

Ambulatory Detoxification Without Extended On Site Monitoring must be delivered by practitioners employed by a substance abuse provider that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

**Staffing Requirements**

Ambulatory Detoxification Without Extended On Site Monitoring are staffed by physicians, who are available 24 hours a day by telephone and who conduct an assessment within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication. Appropriately licensed and credentialed staff are available to administer medications in accordance with physician orders and the services of counselors are available. Services must be provided by staff who meet the requirements specified for QP or AP status for Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS.

**Service Type/Setting**

Facility licensed under 10A NCAC 27G.3300.

**Entrance Criteria**

- A. There is an Axis I diagnosis of substance abuse disorder present
- AND**
- B. ASAM Level of Care Criteria Level I-D (NC criteria)

**Utilization Management**

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Authorization by the statewide vendor or the LME if approved by DHHS is required. This service must be included in an individual's Person Centered Plan. Initial authorization is limited to seven days. There is a ten day maximum.

### **Continued Stay/Discharge Criteria**

The patient continues in Ambulatory Detoxification Without Extended On Site Monitoring until:

- 1 withdrawal signs and symptoms are sufficiently resolved such that he or she can participate in self-directed recovery or ongoing treatment without the need for further medical or nursing detoxification monitoring; or
- 2 the signs or symptoms of withdrawal have failed to respond to treatment and have intensified such that transfer to a more intensive level of detoxification service is indicated.

### **Expected Outcomes**

The expected outcome is abstinence and reduction in any psychiatric symptoms (if present).

### **Documentation Requirements**

Minimum standard is a daily full service note for each day of Ambulatory Detoxification Without Extended On Site Monitoring that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. Detoxification rating scale tables e.g., Clinical Institute Withdrawal Assessment-Alcohol, Revised (CIWA-AR) and flow sheets (which include tabulation of vital signs) are used as needed, and a discharge plan which has been discussed with the recipient is also documented prior to discharge.

### **Service Exclusions**

Cannot be billed the same day as any other service except for SA Comprehensive Outpatient Treatment and CS.

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### **Social Setting Detoxification Not a Medicaid Billable Service**

#### **Level III.2-D Clinically Managed Residential Detoxification**

#### **NC Modified ASAM Patient Placement Criteria**

#### **Service Definition and Required Components**

Clinically Managed Residential Detoxification is an organized service that is delivered by appropriately trained staff, who provide 24-hour supervision, observation and support for patients who are intoxicated or experiencing withdrawal symptoms sufficiently severe to require 24-hour structure and support. The service is characterized by its emphasis on peer and social support. Established clinical protocols are followed by staff to identify patients who are in need of medical services beyond the capacity of the facility and to transfer such patients to the appropriate levels of care.

A service order for Social Setting Detoxification must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Social Setting Detoxification must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

#### **Staffing Requirements**

Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver Social Setting Detoxification. The program must be under the clinical supervision of a CCS or CCAS who is available 24 hours a day by telephone. All clinicians who assess and treat patients are able to obtain and interpret information regarding the needs of the patients including the signs and symptoms of alcohol and other drug intoxication and withdrawal as well as the appropriate treatment and monitoring of those conditions and how to facilitate entry into ongoing care. Back-up physician services are available by telephone 24 hours a day. Services must be provided by staff who meet the requirements specified for QP or AP status in Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and Certified Peer Support Specialist and who have the knowledge, skills and abilities required by the population and age to be served may deliver Social Setting Detoxification, under the supervision of a CCAS or CCS. Paraprofessional level providers may not provide services in lieu of on-site service provision to recipients by a qualified professional, CCS, CCAS or CSAC.

#### **Service Type/Setting**

Facility licensed under 10A NCAC 14V.3200.

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### **Entrance Criteria**

A. There is an Axis I diagnosis of substance abuse disorder present

**AND**

B. ASAM Level of Care Criteria Level III.2-D (NC criteria)

### **Utilization Management**

Authorization by the LME is required. This service must be included in an individual's Person Centered Plan. Initial authorization is limited to seven days.

### **Continued Stay/Discharge Criteria**

The patient continues in Social Setting Detoxification until:

1. withdrawal signs and symptoms are sufficiently resolved that he or she can be safely managed at a less intensive level of care; or
2. the signs or symptoms of withdrawal have failed to respond to treatment and have intensified such that transfer to a more intensive level of detoxification service is indicated.

### **Expected Outcomes**

The expected outcome of this service is abstinence and reduction in any psychiatric symptoms (if present).

### **Documentation Requirements**

Minimum standard is a shift note for every 8 hours of service provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service. In addition, detoxification rating scale tables (e.g., Clinical Institute Withdrawal Assessment-Alcohol, Revised (CIWA-AR) and flow sheets (which include tabulation of vital signs) are used as needed. A documented discharge plan discussed with the recipient is included in the record.

### **Service Exclusions**

This service cannot be billed the same day as any other MH/SA service except CS, CST and ACTT.

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### **Non-Hospital Medical Detoxification Medicaid Billable Service**

#### **Level III.7-D Medically Monitored Inpatient Detoxification**

#### **NC Modified ASAM Patient Placement Criteria**

#### **Service Definition and Required Components**

Medically Monitored Detoxification is an organized service delivered by medical and nursing professionals, that provides for 24-hour medically supervised evaluation and withdrawal management in a permanent facility affiliated with a hospital or in a freestanding facility of 16 beds or less. Services are delivered under a defined set of physician-approved policies and physician-monitored procedures and clinical protocols.

A service order for Medically Monitored Detoxification must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Medically Monitored Detoxification must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

#### **Staffing Requirements**

Medically Monitored Detoxification are staffed by physicians, who are available 24 hours a day by telephone and who conducts an assessment within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication administration. The level of nursing care is appropriate to the severity of patient needs based on the clinical protocols of the program. Appropriately licensed and credentialed staff are available to administer medications in accordance with physician orders. Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver a planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Monitored Detoxification. The planned regimen of 24-hour evaluation, care and treatment services must be under the clinical supervision of a CCS or CCAS who is available by phone 24 hours a day. The planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Monitored Detoxification must be provided by staff who meet the requirements specified for QP or AP status in Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver the planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Monitored Detoxification, under the supervision of a CCAS or CCS. Paraprofessional level providers may not provide services in lieu of on-site service provision to recipients by a qualified professional, CCS, CCAS or CSAC.

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### **Service Type/Setting**

Facility licensed under 10A NCAC 27G.3100.

### **Entrance Criteria**

- A. There is an Axis I diagnosis of substance abuse disorder present
- AND**
- B. ASAM Level of Care Criteria Level III.7-D (NC criteria)

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. This service must be included in an individual's Person Centered Plan. Initial authorization is limited to seven days.

### **Continued Stay/Discharge Criteria**

The patient continues in Medically Monitored Detoxification until:

- 1. withdrawal signs and symptoms are sufficiently resolved that he or she can be safely managed at a less intensive level of care; or
- 2. the signs or symptoms of withdrawal have failed to respond to treatment and have intensified such that transfer to a more intensive level of detoxification service is indicated.

### **Expected Outcomes**

The expected outcome of this service is abstinence and reduction in any psychiatric symptoms if present.

### **Documentation Requirements**

Minimum standard is a shift note for every 8 hours of services provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. Detoxification rating scale tables [e.g., Clinical Institute Withdrawal Assessment-Alcohol, Revised (CIWA-AR)] and flow sheets (includes tabulation of vital signs) are used as needed. A discharge plan, which has been discussed with the recipient, is also included in the record.

### **Service Exclusions**

This service cannot be billed the same day as any other MH/SA service except CS, CST, and ACTT.

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### **Medically Supervised or ADATC Detoxification/Crisis Stabilization Medicaid Billable Service (When Furnished to Adults in Facilities with Fewer than 16 Beds)**

#### **LEVEL III.9-D Medically Supervised Detoxification/Crisis Stabilization**

#### **NC Modified ASAM Patient Placement Criteria**

#### **Service Definition and Required Components**

Medically Supervised or ADATC Detoxification/Crisis Stabilization is an organized service delivered by medical and nursing professionals, that provides for 24-hour medically supervised evaluation and withdrawal management in a permanent facility with inpatient beds. Services are delivered under a defined set of physician-approved policies and physician-monitored procedures and clinical protocols. Recipients are often in crisis due to co-occurring severe substance-related mental disorders, such as an acutely suicidal patient, or persons with severe mental health problems that co-occur with more stabilized substance dependence who are in need short term intensive evaluation, treatment intervention, or behavioral management to stabilize the acute or crisis situation. The service has restraint and seclusion capabilities. Established clinical protocols are followed by staff to identify patients with severe biomedical conditions who are in need of medical services beyond the capacity of the facility and to transfer such patients to the appropriate level of care.

A service order for Medically Supervised or ADATC Detoxification/Crisis Stabilization must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

#### **Provider Requirements**

Medically Supervised or ADATC Detoxification/Crisis Stabilization must be delivered by practitioners employed by a substance abuse provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement, and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by being endorsed by LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The organization must be established as a legally recognized entity in the United States and qualified/registered to do business as a corporate entity in the State of North Carolina.

#### **Staffing Requirements**

Medically Supervised or ADATC Detoxification/Crisis Stabilization are staffed by physicians and psychiatrists, who are available 24 hours a day by telephone and who conduct assessments within 24 hours of admission. A registered nurse is available to conduct a nursing assessment on admission and oversee the monitoring of a patient's progress and medication administration on an hourly basis. Appropriately licensed and credentialed staff are available to administer medications in accordance with physician orders. Persons who meet the requirements specified for CCS, CCAS, and CSAC under Article 5C may deliver a planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Supervised or ADATC Detoxification/Crisis Stabilization. The planned regimen of 24-hour evaluation, care and treatment services must be under the clinical supervision of a CCS or CCAS who is who is available by phone 24 hours a day. The planned regimen of 24-hour evaluation, care and treatment services for patients engaged in Medically Supervised or ADATC Detoxification/Crisis Stabilization must be provided by staff who meet the requirements specified for QP or AP status for

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Substance Abuse according to 10A NCAC 27G.0104, under the supervision of a CCAS or CCS. Paraprofessional level providers who meet the requirements for Paraprofessional status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver the planned regimen of 24-hour evaluation, care and treatment services for patients engaged in ADATC Detoxification/Crisis Stabilization, under the supervision of a CCAS or CCS.

### **Service Type/Setting**

(Licensure TBD)

### **Entrance Criteria**

- A. There is an Axis I diagnosis of substance abuse disorder present
- AND**
- B. ASAM Level of Care Criteria Level III.9-D (NC criteria)

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. This service must be included in an individual's Person Centered Plan. Initial authorization is limited to 5 days.

### **Continued Stay/Discharge Criteria**

The patient continues in Medically Supervised or ADATC Detoxification/Crisis Stabilization until:

- 1. withdrawal signs and symptoms are sufficiently resolved that he or she can be safely managed at a less intensive level of care; or
- 2. the signs or symptoms of withdrawal have failed to respond to treatment and have intensified such that transfer to a more intensive level of detoxification service is indicated; or
- 3. the addition of other clinical services are indicated.

### **Expected Outcomes**

The expected outcome of this service is abstinence and reduction in any psychiatric symptoms (if present).

### **Documentation Requirements**

Minimum standard is a shift note for every 8 hours of service provided that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, the plan of care, and the signature and credentials of the staff providing the service. In addition, detoxification rating scale tables [e.g., Clinical Institute Withdrawal Assessment-Alcohol, Revised (CIWA-AR)] and flow sheets (includes tabulation of vital signs) are used as needed. A discharge plan, which has been discussed with the recipient, is also included in the record.

### **Service Exclusions**

This service cannot be billed the same day as any other MH/SA service except CS, CST, and ACTT.



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# **DEVELOPMENTAL DISABILITIES SERVICES**

## **Developmental Therapy Service Medicaid Billable Service**

### **Service Definition and Required Components:**

Developmental Therapy is a developmental disability service that includes individually designed instruction, training or functional developmental intervention activities based on the assessment of, and unique strengths and needs of the child, youth and family or adult. It is designed to support the individual in the acquisition of skills that the recipient has not gained during the developmental stages of life, and is not likely to develop without additional training and supports. For children and youth the focus is on strengthening skills in the major developmental domains and may include training and activities in areas such as self-help, language and cognitive development, and psychosocial skills. Developmental Therapy includes the systematic planning and involvement of people, materials, and places to assist in designing learning environments to create opportunities that help the child or youth learn through related activities that encourage and enhance skills in the developmental domains. For adults, Developmental Therapy may include training in activities to strengthen appropriate developmental functioning in areas such as self-care, mobility, socialization, independent living, and self-advocacy and rights.

This service focuses on assisting individuals/families in becoming connected to naturally occurring support systems and relationships in the community, including developing and providing support for health and safety factors. This service is also intended to assist families in responding to a wide range of challenges related to functional outcomes for their child.

A service order for Developmental Therapy must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

### **Provider Requirements**

Developmental Therapy must be delivered by a provider organization that meet the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27I.0208 (Endorsement of Providers). These policies and procedures set forth the administrative, financial, clinical, quality improvement and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider, the organization must have achieved national accreditation. The provider organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina. Developmental Therapy providers must have the ability to deliver services in various environments, such as homes, homeless shelters, street locations, etc.

### **Staffing Requirements**

Persons who meet the requirements specified for QP or AP status according to 10A NCAC 27G.0104 and who have the knowledge, skills and abilities required by the population and age to be served may deliver Developmental Therapy. Supervision is provided according to supervision requirements specified in 10A NCAC 27G.0203 and according to licensure requirements of the appropriate discipline. Paraprofessional level providers who meet the requirements specified for Paraprofessional status and who have the knowledge, skills and abilities required by the population and age to be served may deliver

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Developmental Therapy within the requirements of the staff definition specific in the above role. When a Paraprofessional provides Developmental Therapy services, they must be under the supervision of a QP or AP. Supervision of Paraprofessionals is also to be carried out according to 10A NCAC 27G.0204.

### **Service Type/Setting**

Developmental Therapy is a direct periodic service that may be provided to an individual or group of individuals. It may take place in a range of settings, such as the individual's home, individual's family home, community, and homeless shelters.

### **Utilization Management:**

Authorization by the statewide vendor or the LME is required. Utilization review must be conducted at least every 60 days and is so documented in the Person Centered Plan and service record. Referral and service authorization is the responsibility of the LME. For reimbursement, the services must be included in the recipient's Person Centered Plan.

### **Entrance Criteria**

- A. The individual is eligible for this service when:
- B. The person has a condition that is defined as a developmental disability according to GS 122C-3 (12a),

**AND**

Level of Care and/or NCSNAP,

**AND**

- C. The recipient is experiencing difficulties in at least one of the following areas:
  - 1. Functional impairment,
  - 2. Crisis intervention/diversion/aftercare needs, and/or
  - 3. At risk of placement outside the natural home setting,

**AND**

- D. The recipient is experiencing difficulties in at least one of the following areas:
  - 1. Is at risk for institutionalization, or hospitalization or is placed outside the natural living environment.
  - 2. Is receiving or needs crisis intervention services, intensive in-home services, or intensive community support.
  - 3. DSS has substantiated abuse, neglect, or has established dependency.
  - 4. Presenting with intense verbal and limited physical aggression due to symptoms associated with his/her diagnosis, which is sufficient to create functional problems in the home, community, school, or job.

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### **Continued Stay Criteria**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame outlined in the recipient's Person Centered Plan or the recipient continues to be at risk for regression based on history or the tenuous nature of the functional gains or any one of the following apply:

- A. Recipient has achieved initial Person Centered Plan goals and services are needed to achieve additional goals.
- B. Recipient is making satisfactory progress toward meeting goals.
- C. Recipient is making some progress, but the Person Centered Plan (specific interventions) needs to be modified so that greater gains can be achieved.
- D. Recipient is not making progress; the Person Centered Plan must be modified to identify more effective interventions.
- E. Recipient is regressing; the Person Centered Plan must be modified to identify more effective interventions.

### **Discharge Criteria**

Recipient's level of functioning has improved with respect to the goals outlined in the Person Centered Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at this level of care and any of the following apply:

- A. Recipient has achieved goals and is no longer eligible for Developmental Therapy.
- B. Recipient is not making progress, or is regressing and all realistic treatment options have been exhausted.
- C. Recipient/family no longer wants Developmental Therapy.

**Note:** Any denial, reduction, suspension, or termination of service requires notification to the recipient and/or legal guardian about their appeal rights.

### **Expected Outcomes**

Developmental Therapy is directed toward improving or increasing functional development in areas such as self help, language and cognitive development, and psychosocial skills for children and youth with developmental disabilities. For adults with developmental disabilities it is directed toward skill development in areas such as self-care, mobility, socialization, independent living, self-advocacy and rights.

### **Documentation Requirements**

Documentation in the consumer's medical record is required as defined in the Service Records Manual APSM 45-2. Minimum standard is a daily full service note that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions, and the signature of the staff providing the service.

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### **Service Exclusions**

Educational skills that are usually taught in primary or secondary school settings; e.g., reading math, writing, etc. are not reimbursable. The service can include some functionally related skills development in areas such as recognizing familiar people, street signs, knowing how to get help in emergency or related developmentally appropriate self care, making a mark for a signature, or using a calculator to balance a checkbook, for adults who are or were not able to acquire these skills in an educational setting.

Vocational services directed toward assessing a recipient's work skills or aptitudes, training in specific job skills directed toward employment, etc. is not reimbursable. The service can provide training in prevocational areas such as staying on task, safety, being on time, etc. These skills can be taught in other functional settings or simulated work settings as long as the primary purpose of the training is not to train the recipient in a specific job skill.

Recreational services related to participation in recreational or leisure activities or attendance at such activities for recreational or leisure purposes are not reimbursable. Developmental Therapy may be used to teach a recipient to access the community, including recreational activities; (e.g., for children and youth learn to participate in developmentally appropriate inclusive activities that teach life and social skills, learn to ride the bus to a fitness center). It is expected that this type of training is time limited. The service must focus on the primary goals of the recipient. It is not acceptable to fill a need for training in recreational or leisure activities by developing goals not needed by the recipient to cover the recreational/leisure goal.

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# **Targeted Case Management for Individuals with Developmental Disabilities Medicaid Billable Service**

## **Service Definition and Required Components**

Case Management is a service that assists individuals in gaining access to needed State Plan services as well as needed medical, social, educational and other services. Case Managers are responsible for ongoing monitoring of the provision of services included in the individual's Person Centered Plan. Case Managers shall initiate and oversee the process of assessment and reassessment of the individual's level of care and the review of Person Centered Plan. Additionally, Case Management, for the purpose of discharge planning, may be provided while the recipient is in a hospital if it does not duplicate discharge planning activities and is provided within 30 days prior to the recipient's discharge from the hospital or 60 days prior to discharge from an state or community ICF/MR

Case Management involves locating, obtaining, coordinating, and monitoring social, habilitative, and medical services as well as other services and supports related to maintaining the person's health, safety, and well-being in the community. Primary responsibilities include:

- Obtaining input from the person/providers/significant others about the service delivery process and seeking information in an effort to obtain needed services/supports on behalf of the person;
- Facilitating person-centered planning, circle of friends, mini-planning teams, revising the Plan as needed and submitting the Plan for LME authorization.
- Informing significant others about the person's situation and the case manager's efforts on behalf of the person with the consent of the person/legally responsible person
- Locating and coordinating sources of help so that the individual receives available natural and community supports.
- Completing application forms to assist in receiving community and other formal service support.
- Facilitating the service delivery process, including CAP/MR/DD beginning with intake/initial assessment and including the identification and procurement of services, on-going monitoring of care and services, and the annual re-evaluation of the individual's needs and services.
- Monitoring the individual's situation to assure quality care as well as the continued appropriateness of services.

A service order for targeted Case Management for developmental disabilities must be completed by a physician, licensed psychologist, physician's assistant or nurse practitioner according to their scope of practice prior to or on the day that the services are to be provided.

## **Provider Requirements**

Case Management must be delivered by a provider organization that meets the provider qualification policies and procedures established by DMH and the requirements of 10A NCAC 27L.0208. These policies and procedures set forth the administrative, financial, clinical, quality improvement and information services infrastructure necessary to provide services. Provider organizations must demonstrate that they meet these standards by either being endorsed by the LME. Within three years of enrollment as a provider the organization must have achieved national accreditation. The provider organization must be established as a legally recognized entity in the United States and qualified/registered to do business in the State of North Carolina.

For case management services, the provider may not provide direct services or interventions to the identified consumer.

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### **Staffing Requirements**

The case managers shall meet the following requirements:

1. Professional degree and education:
  - a. Master's degree in "Human Services area" as defined as published in Medicaid Clinical Coverage Policies on the N.C. Division of Medical Assistance website (<http://www.dhhs.state.nc.us/dma/mp/mpindex.htm>); or
  - b. Baccalaureate degree in "Human Service area" as defined as published on the N.C. Division of Medical Assistance website (<http://www.dhhs.state.nc.us/dma/mp/mpindex.htm>) and with two years of experience working with children and families; or
  - c. Registered nurse with the years of experience working with children and their families.
2. Have either a license or certificate issued by the state of North Carolina as applicable to the professional degree.

### **Service Type/Setting**

Case management service can be provided in any setting except public correctional or detention facilities.

### **Program Requirements**

See Service Definition and Required Components

### **Utilization Management**

Authorization by the statewide vendor or the LME is required. The frequency with which case management is provided is determined by the individual's needs and situations and must be provided as specified in the Person Centered Plan. The assumption is that the number of Case Management hours will vary from person to person, with some individuals requiring less and some requiring more time.

The initial utilization review will occur within 30 days and reauthorization every 60 days thereafter.

### **Entrance Criteria**

The individual is eligible for this service when determined to be a member of a Developmental Disabilities target population.

### **Continued Stay Criteria:**

The desired outcome or level of functioning has not been restored, improved, or sustained over the time frame specified in the individual's Person Centered Plan or the recipient continues to be at risk for regression based on history or tenuous nature of the functional gains or any one of the following apply:

- A. The individual has achieved initial Plan goals and continued service is needed to meet additional goals.
- B. The individual is making satisfactory progress toward meeting goals.
- C. The individual is making some progress, but specific interventions in the Plan need to be modified so that greater gains can be achieved.
- D. The individual is not making progress; the Plan must be modified to identify more effective interventions
- E. The individual is regressing; the Person Centered Plan must be modified to identify more effective interventions.

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### **Discharge Criteria**

The individual's level of functioning has improved with respect to the goals outlined in the Plan, inclusive of a transition plan to step down, or no longer benefits, or has the ability to function at the level of care and any of the following apply:

1. The individual is not making progress, or is regressing and all realistic treatment options have been exhausted and a more intensive level of care is required.
2. The individual/family no longer wants service.

### **Expected Outcomes**

Targeted case management is directed toward facilitating increased functional skills in the areas of self care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living or economic self-sufficiency for individuals with developmental disabilities.

### **Documentation Requirements**

Notes and logs signed by the case manager that includes the recipient's name, Medicaid identification number, date of service, purpose of contact, describes the provider's interventions, the time spent performing the intervention, the effectiveness of interventions and the signature of the staff providing the service and must meet the requirements listed in the DMH Service Record Manual (APSM 45-2).

### **Service Exclusions**

Case management does not include the transporting individuals, purchasing equipment and supplies, and delivering equipment and supplies. (However, case management does include the coordination and arranging of transportation and the coordination and arranging of purchasing equipment and supplies for the individual.)

The agency providing case management may not provide services other than case management to that individual.